



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>7</sup> :</b> <b>C12N 15/82, 5/10</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 00/20613</b> <b>(43) International Publication Date:</b> 13 April 2000 (13.04.00)
<b>(21) International Application Number:</b> PCT/JP99/05303 <b>(22) International Filing Date:</b> 28 September 1999 (28.09.99) <b>(30) Priority Data:</b> 10/281124 ✓ 2 October 1998 (02.10.98) JP <b>(71) Applicant (for all designated States except US):</b> SUMITOMO CHEMICAL COMPANY, LIMITED [JP/JP]; 5-33, Kita- hama 4-chome, Chuo-ku, Osaka-shi, Osaka 541-8550 (JP). <b>(72) Inventors; and</b> <b>(75) Inventors/Applicants (for US only):</b> NISHIKAWA, Satomi [JP/JP]; 15-10-402, Kusunoki-cho, Ashiya-shi, Hyogo 659-0015 (JP). OEDA, Kenji [JP/JP]; 251-1, Kamigo- ryokamie-cho, Kuramaguchidorimuromachihigashiiru, Kita-ku, Kyoto-shi, Kyoto 603-8147 (JP). <b>(74) Agents:</b> JINNO, Naoyoshi et al.; Sumitomo Chemical Com- pany, Limited, Intellectual Property Department, 5-33, Kita- hama 4-chome, Chuo-ku, Osaka-shi, Osaka 541-8550 (JP).		<b>(81) Designated States:</b> AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the</i> <i>claims and to be republished in the event of the receipt of</i> <i>amendments.</i>
<b>(54) Title:</b> PLANT PROMOTERS AND PLANT TERMINATORS		
<b>(57) Abstract</b> <p>The present invention relates to: (1) a promoter comprising the following DNA (a) or (b), characterized in that it is capable of functioning in plant cells: (a) DNA comprising the nucleotide sequence shown in SEQ ID No: 1 or SEQ ID No: 7, or (b) DNA comprising a nucleotide sequence in which one or more bases are deleted, substituted, or added in the nucleotide sequence shown in SEQ ID No: 1 or SEQ ID No: 7, and which has more than 90 % identity to the nucleotide sequence of any region consisting of 250 bp or more within the nucleotide sequence shown in SEQ ID No: 1 or SEQ ID No: 7, wherein said DNA has biological functions equivalent to those of the above DNA (a); and (2) a terminator comprising the following DNA (a) or (b), characterized in that it is capable of functioning in plant cells: (a) DNA comprising the nucleotide sequence shown in SEQ ID No: 2, or (b) DNA comprising a nucleotide sequence in which one or more bases are deleted, substituted, or added in the nucleotide sequence shown in SEQ ID No: 2 and which has more than 90 % identity to the nucleotide sequence of any region consisting of 250 bp or more within the nucleotide sequence shown in SEQ ID No: 2, wherein said DNA has biological functions equivalent to those of the above DNA (a); and the like. According to the present invention, the promoters and the terminators capable of efficiently expressing a gene of interest in plants are provided.</p>		

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## DESCRIPTION

### PLANT PROMOTERS AND PLANT TERMINATORS

#### BACKGROUND OF THE INVENTION

##### 1. Field of the Invention

The present invention relates to plant promoters, plant terminators, and the like.

##### 2. Description of the Related Art

There have been many attempts to produce various transgenic plants provided with useful traits by introducing a gene into plants and expressing it, and some of such transgenic plants have already become commercially practical. In order to produce such transgenic plants, it is important to efficiently express a gene introduced into plant cells. A promoter is a major factor that determines transcription level of a gene, and it is generally possible to enhance expression of a gene of interest by placing said gene under the control of a promoter having high transcriptional activity. Furthermore, since a terminator has important effects on processing and degradation of RNA strands generated by transcription, in addition to its function of giving instructions to terminate transcription, it is often effective at increasing expression of a gene of interest to insert a terminator immediately after 3'-end of the translated region of said gene.

However, plant promoters and plant terminators hitherto produced, which may be used in plant breeding by introduction of a gene of interest, are far from enough, and therefore, it is strongly desired to develop new promoters and terminators capable of efficiently expressing a gene of interest in plants.

#### SUMMARY OF THE INVENTION

Under such circumstances, the present inventors concentrated their efforts on studying promoters and terminators capable of functioning in plant cells, and thereby have found that a gene of interest can be efficiently expressed in plant tissues such as leaves, roots, stems, or the like, by using a DNA having a particular nucleotide sequence. The present invention has been completed on the basis of this finding.

Thus, the present invention provides the following:

1. a promoter comprising the following DNA (a) or (b), characterized in that it is capable of functioning in plant cells:
  - (a) DNA comprising the nucleotide sequence shown in SEQ ID No: 1 or SEQ ID No: 7, or
  - (b) DNA comprising a nucleotide sequence in which one or more bases are deleted, substituted, or added in the nucleotide sequence shown in SEQ ID No: 1 or SEQ ID No: 7, and which has more than 90% identity to the nucleotide sequence of any region consisting of 250 bp or more within the nucleotide sequence shown in SEQ ID No: 1 or SEQ ID No: 7, wherein said DNA has biological functions equivalent to those of the above DNA (a).
2. a terminator comprising the following DNA (a) or (b), characterized in that it is capable of functioning in plant cells:
  - (a) DNA comprising the nucleotide sequence shown in SEQ ID No: 2, or
  - (b) DNA comprising a nucleotide sequence in which one or more bases are deleted, substituted, or added in the nucleotide sequence shown in SEQ ID No: 2 and which has more than 90% identity to the nucleotide sequence of any region consisting of 250 bp or more within the nucleotide sequence shown in SEQ ID No: 2, wherein said DNA has biological functions equivalent to those of the above DNA (a).
3. a chimeric gene characterized in that it comprises a promoter of the above 1 and a desired gene linked to each other in the form capable of functioning.
4. a chimeric gene characterized in that it comprises a promoter of the above 1, a desired gene, and a terminator of the above 2 linked to each other in the form capable of functioning.
5. a vector characterized in that it contains a promoter of the above 1.
6. a vector characterized in that it contains a promoter of the above 1 and a desired gene.
7. a vector characterized in that it contains a promoter of the above 1, a desired gene, and a terminator of the above 2.
8. a method of producing a transformant, characterized in that it comprises a step



in which any one of a promoter of the above 1, a chimeric gene of the above 3 or 4, and a vector of the above 5, 6 or 7 is introduced into a host cell.

9. a transformant characterized in that it carries any one of a promoter of the above 1, a chimeric gene of the above 3 or 4, and a vector of the above 5, 6 or 7, introduced into the host cell.

10. a transformant of the above 9 characterized in that the host cell is a microbial cell or a plant cell.

11. a method of expressing a gene, characterized in that it comprises a step in which a promoter of the above 1 and a desired gene located downstream from said promoter are placed in a host cell, and a step in which the desired gene is expressed in the host cell under the control of said promoter.

12. a method of expressing a gene, characterized in that it comprises a step in which a terminator of the above 2 and a desired gene located upstream from said terminator are placed in a host cell, and a step in which the desired gene is expressed in the host cell under the control of said terminator.

Further scope of applicability of the present invention will become apparent from the detailed description given hereinafter. However, it should be understood that the detailed description and specific examples, while indicating preferred embodiments of the invention, are given by way of illustration only, since various changes and modifications within the spirit and scope of the invention will become apparent to those skilled in the art from this detailed description.

Throughout this specification and the claims which follow, unless the context requires otherwise, the word "comprise", and variations such as "comprises" and "comprising", will be understood to imply the inclusion of a stated integer or step or group of integers or steps but not the exclusion of any other integer or step or group of integer or step.

#### BRIEF DESCRIPTION OF DRAWINGS

Fig. 1 shows the restriction enzyme map of plasmid pCR16G6P containing a promoter of the present invention. "Promoter" indicates the promoter of the present

invention. Likewise, "Amp" represents ampicillin resistance gene; "lac I" represents the repressor protein gene of the lactose operon; "lac Z" represents  $\beta$ -galactosidase gene; and "ORI" represents an origin of replication.

Fig. 2 shows the restriction enzyme map of plasmid pCR16G6T containing a terminator of the present invention. "Terminator" indicates the terminator of the present invention. Likewise, "Amp" represents ampicillin resistance gene; "lac I" represents the repressor protein gene of the lactose operon; "lac Z" represents  $\beta$ -galactosidase gene; and "ORI" represents an origin of replication.

Fig. 3 shows the restriction enzyme map and construction processes of expression vector pBICR16G6P into which a promoter of the present invention has been inserted. "G6-p" indicates the promoter of the present invention. "nos-p" denotes the promoter of nopaline synthetic enzyme gene; "nos-t" denotes the terminator of nopaline synthetic enzyme gene; and "35S-p" denotes the 35S promoter of cauliflower mosaic virus. Likewise, "NPTII" represents kanamycin resistance gene; "GUS" represents  $\beta$ -glucuronidase gene; and "HPT" represents hygromycin resistance gene.

Fig.4 shows the restriction enzyme map and construction process of expression vector pBICR16G6PT  $\Delta$  G into which a promoter and a terminator of the present invention have been inserted. "G6-p" indicates the promoter of the present invention, and "G6-t" indicates the terminator of the present invention. "G6-t'" denotes the terminator derived from "G6-t" whose HindIII cutting site has been deleted. "RB" means the right border sequence and "LB" means the left border sequence that locate on the binary vector. "GUS" represents  $\beta$ -glucuronidase gene.

## DETAILED DESCRIPTION OF THE INVENTION

The present invention is described below in more detail.

The genetic engineering techniques used in the present invention may be conducted according to the usual procedures, for example, described in J. Sambrook, E. F., Frisch, and T. Maniatis, "Molecular Cloning 2nd edition" (Cold Spring Harbor Laboratory Press, 1989); and D. M. Glover, "DNA Cloning" (IRL Press, 1985).

In the present invention, the term "promoter" means DNA that has a function of

initiating transcription of a gene of interest located downstream from said DNA.

A promoter of the present invention comprises the following DNA (a) or (b) (hereinafter referred to as the present promoter DNA):

(a) DNA comprising the nucleotide sequence shown in SEQ ID No: 1 or SEQ ID No: 7, or

(b) DNA comprising a nucleotide sequence in which one or more bases are deleted, substituted, or added in the nucleotide sequence shown in SEQ ID No: 1 or SEQ ID No: 7, and which has more than 90% identity to the nucleotide sequence of any region consisting of 250 bp or more within the nucleotide sequence shown in SEQ ID No: 1 or SEQ ID No: 7, wherein said DNA has biological functions equivalent to those of the above DNA (a).

In this context, examples of "nucleotide sequence in which one or more bases are deleted, substituted, or added" may include those nucleotide sequences that contain naturally occurring variations resulted from the species or individual difference between organisms or the difference between tissues from which the DNAs are obtained, or variations artificially introduced into the DNA. DNA which "has biological functions equivalent to those of the above DNA (a)" may be, for example, those DNAs that comprise a nucleotide sequence in which one or more bases are deleted, substituted, or added in the nucleotide sequence shown in SEQ ID No: 1 or SEQ ID No: 7, provided they retain the promoter functions in plant cells, and that have more than 90% identity to the nucleotide sequence of any region consisting of 250 bp or more within the nucleotide sequence shown in SEQ ID No: 1 or SEQ ID No: 7. Such DNAs may be those DNAs that are cloned from natural sources, or that contain artificially introduced deletion, substitution, or addition of base(s) in DNAs cloned from natural sources, or that are artificially produced by chemical synthesis.

And it is possible to increase expression efficiency of a desired gene by conducting a step in which a promoter of the present invention and the desired gene located downstream from said promoter are placed in host cells, and a step in which the desired gene is expressed in the host cells under the control of said promoter.

The present promoter DNAs may be isolated from, for example, genomic DNA

of carrots such as *Daucus carota*, by using a PCR method.

Specifically, tissues are collected from, for example, leaves of carrots such as *Daucus carota*, and tissues obtained are frozen in liquid nitrogen, followed by physical grinding with a mortar or the like into fine powdered tissue pieces. Genomic DNA is extracted from the tissue pieces by the usual method. The extraction process may be conducted, for example, by the CTAB method described in, e.g., M. Shure et al. *Cell*, 35:225 (1983), or by the urea-phenol method described in, e.g., S. O. Rogers and A. J. Bendich, *Plant Mol. Biol.*, 5:69 (1985). For example, genomic DNA obtained may be used as template to conduct one to several rounds of PCR (reaction conditions: for example, one to several rounds of PCR each conducted for 30-40 cycles of incubation at 94°C for 1 min; at 55°C for 2 min; and then at 74°C for 3 min per cycle) using, as primers, an oligonucleotide having the nucleotide sequence indicated by base numbers 1 to 20 in SEQ ID No: 1 or SEQ ID No: 7, and an oligonucleotide having a nucleotide sequence complementary to the nucleotide sequence indicated by base numbers 2029 to 2052 in SEQ ID No: 1 or SEQ ID No: 7, to amplify DNA comprising the nucleotide sequence shown in SEQ ID No: 1 or SEQ ID No: 7, or DNA comprising a nucleotide sequence in which one or more bases are deleted, substituted, or added in the nucleotide sequence shown in SEQ ID No: 1 or SEQ ID No: 7. Oligonucleotides used as such primers may be designed as appropriate on the basis of the nucleotide sequence shown in SEQ ID No: 1 or SEQ ID No: 7, and may optionally have an additional nucleotide sequence, such as a restriction enzyme-recognition nucleotide sequence, attached to their 5' ends.

A DNA amplified as described above may be cloned into a vector according to the usual methods described in, for example, "Molecular Cloning: A Laboratory Manual 2nd edition" (1989) (Cold Spring Harbor Laboratory Press) or "Current Protocols In Molecular Biology" (1987) (John, Wiley & Sons, Inc., ISBN0-471-50338-X).

Specifically, the cloning may be achieved using a plasmid vector such as one included in TA Cloning Kit (Invitrogen Co.) or pBluescript II (STRATAGENE). The nucleotide sequence of the cloned DNA may be analyzed, for example, by the dye deoxy terminating method described in, e.g., "Molecular Cloning: A Laboratory Manual 2nd

edition" (1989) (Cold Spring Harbor Laboratory Press) 13.42-13.74. To prepare samples for nucleotide sequence analysis, commercially available reagents such as ABI PRISM Dye Terminator Cycle Sequencing Ready Reaction Kit (PE Biosystems) may optionally be used.

A reporter gene, for example,  $\beta$ -glucuronidase gene, is linked downstream to the DNA obtained as described above, cloned as needed into a vector, and then introduced into cultured plant cells such as tobacco cultured cell BY-2 by using procedures such as the particle gun method or Agrobacterium infection method as described below. To obtain the present promoter DNA, the presence or absence of the promoter functions of the above DNA may be then confirmed by preparing a cell extract from the cultured cells and measuring the extract for its  $\beta$ -glucuronidase activity by an enzymological technique to use the value of said activity as an indicator, or by immersing the cultured cells in a staining solution containing 5-bromo-4-chloro-3-indolyl- $\beta$ -D-glucuronide and observing deposition of the blue dye to use the degree of dye deposition as an indicator. Alternatively, the above DNA linked to a reporter gene may also be similarly introduced into a plant cell such as a cell from a wild-type strain of tobacco, and a plant may be regenerated from the cell. To obtain the present promoter DNA, the presence or absence of the promoter functions of the above DNA may be then confirmed by measuring the  $\beta$ -glucuronidase activity in tissues from the plant or its progeny, or by immersing tissues or sections thereof from the plant or its progeny in a staining solution containing 5-bromo-4-chloro-3-indolyl- $\beta$ -D-glucuronide and observing deposition of the blue dye to use the degree of dye deposition as an indicator. Besides  $\beta$ -glucuronidase gene, other genes such as a luciferase gene, a chloramphenicol acetyltransferase gene, and a green fluorescent protein gene may also be used as a reporter gene.

Furthermore, the present promoter DNA may also be obtained by labeling, for example, DNA comprising at least part of the nucleotide sequence shown in SEQ ID No: 1 or SEQ ID No: 7, and hybridizing it as a probe to DNAs derived from a plant or the like to detect and clone DNAs to which the probe specifically binds.

In this procedure, for example, a genomic DNA library derived from a plant

such as carrot may be used as DNAs to which the above probe is allowed to hybridize. As such DNA libraries, commercially available genomic DNA libraries may be used, or genomic DNA libraries may also be prepared for use, according to the usual procedures for library preparation described in, e.g., "Molecular Cloning: A Laboratory Manual 2nd edition" (1989) (Cold Spring Harbor Laboratory Press) or "Current Protocols In Molecular Biology" (1987) (John Wiley & Sons, Inc., ISBN0-471-50338-X), for example, using a  $\lambda$  vector such as  $\lambda$  FIX II,  $\lambda$  EMBL3,  $\lambda$  EMBL4, or  $\lambda$  DASH II (STRATAGENE) together with Gigapack packaging Extracts (STRATAGENE) for in vitro packaging.

The methods by which a probe is allowed to hybridize with such DNAs may include colony hybridization and plaque hybridization, and an appropriate method may be selected depending on the type of vector used in the library preparation. In the case that the library used has been constructed with a plasmid vector, colony hybridization is conducted. Specifically, DNAs in the library are firstly introduced into host microorganisms to obtain transformants, and the transformants obtained were diluted, plated onto agar medium, and cultured at 37°C until colonies appear. On the other hand, in the case that the library used has been constructed with a phage vector, plaque hybridization is conducted. Specifically, host microorganisms and phages in the library are firstly mixed under conditions that allow infection, then further mixed with soft agar medium, and plated onto agar medium. It is then cultured at 37°C until plaques appear. More particularly, for example, according to the procedures described in, e.g., "Molecular Cloning 2nd edition" (J. Sambrook, E. F. Frisch, and T. Maniatis, Cold Spring Harbor Laboratory Press, 1989) 2.60-2.65, about  $9.0 \times 10^5$  pfu of the phage library is spread in NZY agar medium at a density of 0.1-1.0 pfu per mm<sup>2</sup> agar medium, and cultured at 37°C for 6-10 hours.

In both of the above hybridization procedures, a membrane is then put onto the surface of the agar medium on which the above culture has been grown, and the transformants carrying plasmids or the phages are transferred onto the membrane. After treating with alkali, the membrane is neutralized, and further treated to fix DNAs onto the membrane. More particularly, for example, in the case of plaque

hybridization, a membrane such as nitrocellulose or nylon membrane (e.g., Hybond-N+ (Amersham Pharmacia Biotech, Inc.)) is put onto the above-described agar medium, and left for about one minute to allow phage particles to adsorb on the membrane, according to the usual procedures described in, e.g., "Kuroningu to Shikuensu: Shokubutsu Baiotekunoroji Jikken Manyuaru (translation: Cloning and Sequencing: Plant Biotechnology Experimental Manual)" (Watanabe and Sugiura eds., Noson-bunka-sha, 1989). Next, the membrane is immersed in an alkaline solution (1.5 M sodium chloride, 0.5 N NaOH) for about 3 minutes to lyse the phage particles and thereby release phage DNAs onto the membrane, and then treated by immersing the membrane in a neutralizing solution (1.5 M sodium chloride, 0.5 M Tris-HCl buffer, pH 7.5) for about 5 minutes. After washing with a washing solution (300 mM sodium chloride, 30 mM sodium citrate, 200mM Tris-HCl buffer) for about 5 minutes, the membrane is baked at about 80°C for about 90 minutes to fix the phage DNAs onto the membrane.

The membrane prepared is used to conduct hybridization with the above DNA as a probe. Hybridization may be conducted as described in, for example, D. M. Glover ed., "DNA cloning, a practical approach" (IRL Press, 1985, ISBN 0-947946-18-7); "Kuroningu to Shikuensu: Shokubutsu Baiotekunoroji Jikken Manyuaru (translation: Cloning and Sequencing: Plant Biotechnology Experimental Manual)" (Watanabe and Sugiura eds., Noson-bunka-sha, 1989); or "Molecular Cloning 2nd edition" (J. Sambrook, E. F. Frisch, and T. Maniatis, Cold Spring Harbor Laboratory Press, 1989).

In order to label the DNA used as a probe with a radioisotope, for example, Random Labeling Kit (Boehringer Mannheim or Takara Shuzo Co., Ltd.) may be used, or it is also possible to label the DNA by conducting PCR using DNA for probe as a template, with substituting [ $\alpha$ -<sup>32</sup>P] dCTP for dCTP. Alternatively, in order to label the DNA used as a probe with a fluorescent dye, for example, ECL Direct Nucleic Acid Labeling and Detection System (Amersham Pharmacia Biotech, Inc.) may be used.

Many kinds of reagent and temperature conditions are available for conducting hybridization. For example, a pre-hybridization solution which contains 450-900 mM sodium chloride, 45-90 mM sodium citrate, 0.1-1.0% sodium dodecyl sulfate (SDS),

and 0-200 µg/ml denatured non-specific DNA and which, in some cases, may optionally contain each 0-0.2% albumin, Ficoll, polyvinylpyrrolidone, or the like, preferably a pre-hybridization solution containing 900 mM sodium chloride, 90 mM sodium citrate, 1% SDS, and 100 µg/ml denatured calf-thymus DNA, is prepared in a volume of 50-200 µl per cm<sup>2</sup> of the membrane prepared as above, and the above membrane is immersed and incubated in the solution at 42-68°C for 1-4 hours, preferably at 45°C for 2 hours.

Then, a hybridization solution which contains, for example, 450-900 mM sodium chloride, 45-90 mM sodium citrate, 0.1-1.0% SDS, and 0-200 µg/ml denatured non-specific DNA and which, in some cases, may optionally contain each 0-0.2% albumin, Ficoll, polyvinylpyrrolidone, or the like, preferably a hybridization solution containing 900 mM sodium chloride, 90 mM sodium citrate, 1% SDS, and 100 µg/ml denatured calf-thymus DNA, is mixed with the probe obtained by the above described procedures (at an amount equivalent to  $1.0 \times 10^4$ - $2.0 \times 10^6$  cpm per cm<sup>2</sup> membrane) to prepare a mixed solution in a volume of 50-200 µl per cm<sup>2</sup> membrane, and the membrane is immersed and incubated in the mixed solution at 42-68°C for 4-20 hours, preferably at 45°C for 16 hours, to achieve hybridization. After the hybridization reaction, the membrane is recovered, and washed 1-4 times each for 10-60 minutes, preferably twice each for 15 minutes, with a washing solution, for example, which contains 15-300 mM sodium chloride, 1.5-30 mM sodium citrate, 0.1-1.0% SDS, and the like, at 42-68°C, preferably with a washing solution containing 300 mM sodium chloride, 30 mM sodium citrate, and 1% SDS at 55°C. Furthermore, the membrane is briefly rinsed with 2 × SSC solution (300 mM sodium chloride, 30 mM sodium citrate), and then dried. The positions of DNAs on the membrane that hybridize with the probe used are detected, for example, by subjecting this membrane to autoradiography to detect the positions of the probe on the membrane. The clones corresponding to the positions of the detected DNAs on the membrane are identified on the original agar medium, and can be picked up to isolate clones carrying those DNAs. Specifically, the membrane is exposed to an imaging plate (Fuji Photo Film Co., Ltd.) for 4 hours, and this imaging plate is analyzed using BAS 2000 (Fuji Photo Film Co., Ltd.) to detect signals. From the agar medium used for preparation of the membrane, the parts corresponding to the positions at which



signals are detected are cut out as about 5-mm square sections, and these sections are immersed in about 500  $\mu$ l of SM buffer (50 mM Tris-HCl buffer, pH 7.5, 0.1 M sodium chloride, 7 mM magnesium sulfate, 0.01% gelatin) for 2-16 hours, preferably for 3 hours, to elute the phage particles. The phage particle eluate obtained is spread on agar medium according to the method described in "Molecular Cloning 2nd edition" (J. Sambrook, E. F. Frisch, and T. Maniatis, Cold Spring Harbor Laboratory Press, 1989) 2.60-2.65, and cultured at 37°C for 6-10 hours. Using this agar medium, phage DNAs are fixed onto a membrane in the same manner as that described above, and this membrane and the above-described probe are used to conduct hybridization. From the sections, of the agar medium used for preparation of the membrane, that correspond to the positions at which signals are detected, phage particles are eluted, spread on agar medium, and used in preparation of membrane in the same manner as that described above, to conduct hybridization. Such identification and purification steps are repeated to obtain a phage clone that carries DNA having a nucleotide sequence which hybridizes to the probe used.

DNA contained in a clone obtained by conducting a screening via hybridization as described above may be subcloned into a plasmid vector which allows easy preparation or analysis of DNA, such as commercially available pUC18, pUC19, pBluescript KS+, or pBluescript KS-, to prepare the plasmid DNA, and its nucleotide sequence can be determined using the dye deoxy terminating method described in, for example, "Molecular Cloning: A Laboratory Manual 2nd edition" (1989) (Cold Spring Harbor Laboratory Press) 13.42-13.74. Samples used for nucleotide sequence analysis may be prepared, for example, according to the primer extension method described in, e.g., "Molecular Cloning 2nd edition" (J. Sambrook, E. F. Frisch, and T. Maniatis, Cold Spring Harbor Laboratory Press, 1989) 13.15. Alternatively, in order to analyze the nucleotide sequence, the phage clone may be amplified in NZYM liquid medium according to the method described in, for example, "Molecular Cloning 2nd edition" (J. Sambrook, E. F. Frisch, and T. Maniatis, Cold Spring Harbor Laboratory Press, 1989) 2.60-2.65, to prepare a phage solution. From this phage solution, the phage clone DNA may be then extracted using, for example, Lambda-TRAP PLUS DNA Isolation

Kit (Clontech Laboratories, Inc.), and the DNA obtained may be used as a template in the primer extension method described above to prepare samples for nucleotide sequence analysis.

The present promoter DNA may be obtained by assaying, as described above, the promoter functions of DNA thus obtained.

The present promoter DNA may also be obtained by introducing variation(s) into the nucleotide sequence of DNA comprising the nucleotide sequence shown in SEQ ID No: 1 or SEQ ID No: 7. Specifically, variations may be randomly introduced into DNA comprising the nucleotide sequence shown in SEQ ID No: 1 or SEQ ID No: 7, for example, according to the method described in, e.g., A. Greener, and M. Callahan, *Strategies*, 1994, vol. 7, pp. 32-34, or may be site-specifically introduced into DNA comprising the nucleotide sequence shown in SEQ ID No: 1 or SEQ ID No: 7, for example, according to the gapped duplex method described in, e.g., W. Kramer et al., *Nucleic Acids Research*, 1984, vol. 12, p. 9441 or W. Kramer and H. J. Frits, *Methods in Enzymology*, 1987, vol. 154, p. 350, or according to the Kunkel method described in, e.g., T. A. Kunkel, *Proc. of Natl. Acad. Sci. U.S.A.*, 1985, vol. 82, p. 488 or T. A. Kunkel et al., *Methods in Enzymology*, 1987, vol. 154, p. 367. Alternatively, an oligonucleotide primer having a nucleotide sequence in which one or more bases are deleted, substituted, or added in part of the nucleotide sequence shown in SEQ ID No: 1 or SEQ ID No: 7 may be used as a primer to conduct PCR, in order to amplify DNA comprising a nucleotide sequence in which one or more bases are deleted, substituted, or added in the nucleotide sequence shown in SEQ ID No: 1 or SEQ ID No: 7. Furthermore, a chimeric DNA in which partial nucleotide sequence(s) of one or more segments within the nucleotide sequence shown in SEQ ID No: 1 or SEQ ID No: 7 are replaced with part of nucleotide sequence of other promoter(s) may be produced, and DNA having a nucleotide sequence in which part of the nucleotide sequence shown in SEQ ID No: 1 is deleted may also be prepared, for example, according to the method described in, e.g., S. Henikoff et al., *Gene*, 1984, vol. 28, p. 351 or C. Yanisch-Perron et al., *Gene*, 1985, vol. 33, p. 103. The present promoter DNA may be obtained by assaying, as described above, the promoter functions of DNA thus obtained.

Among the present promoter DNAs obtained as described above, a promoter of the present invention may contain either of the above-defined DNA (a) or (b), or both of (a) and (b), and it may also contain these DNAs repeatedly.

In addition, a promoter of the present invention may further contain a nucleotide sequence having an effect of increasing transcription efficiency of a gene in plants. Such nucleotide sequence may be, for example, a nucleotide sequence that constitutively exhibits its increasing effect on transcription efficiency, or a nucleotide sequence that exhibits its increasing effect on transcription efficiency species-, tissue-, or stage-specifically, or a nucleotide sequence of which increasing effect on transcription efficiency is induced, for example, by infection of a pathogenic microorganism, or by stress such as light, heat, drought, salts, or wound. Specific examples of nucleotide sequence having an effect of increasing efficiency in transcribing a gene in plants include, for example, the transcription translation activating nucleotide sequence in which a region of mannopine synthetic enzyme gene from the base at the 318th position through the base at the 138th position upstream from the point of transcription is linked downstream to a region of *Agrobacterium* octopine synthetic enzyme gene from the base at the 333rd position through the base at the 116th position upstream from the point of transcription, and the transcription activating nucleotide sequence in which a region of octopine synthetic enzyme gene from the base at the 333rd position through the base at the 116th position upstream from the point of transcription is linked downstream to a region of mannopine synthetic enzyme gene from the base at the 318th position through the base at the 213th position upstream from the point of transcription (The Plant Journal, 7(4):661-676 (1995)); the nucleotide sequence comprising the bases of cauliflower mosaic virus 35S promoter from the 343rd position through the 91st position upstream from the point of transcription (Nature, 313:810-812 (1985)); the nucleotide sequence comprising the bases of tomato ribulose-1,5-bisphosphate carboxylase/oxygenase small subunit gene (*rbc-3A*) from the 1099th position through the 205th position upstream from the point of transcription (Plant Cell, 1:217-227 (1990)); the nucleotide sequence comprising the bases of tobacco PR1a gene from the 902nd position through the 287th position upstream from the point of

transcription (Plant Cell, 2:357-366 (1990)); and the nucleotide sequence comprising the bases of potato protease inhibitor gene (PI-II) from the 1300th position through the 195th position upstream from the point of transcription (Plant Cell, 2:61-70 (1990)).

Furthermore, a promoter of the present invention may contain a nucleotide sequence having an increasing effect on transcription efficiency that is included in the nucleotide sequence shown in SEQ ID No: 1 or SEQ ID No: 7. It is also possible to identify such nucleotide sequence and to prepare a promoter of the present invention repeatedly containing such nucleotide sequence or to prepare a novel plant promoter by linking such nucleotide sequence to another DNA having promoter functions in plant cells. In order to identify such nucleotide sequence, for example, a reporter gene may be expressed in plant cells under the control of a variety of the present promoter DNAs, and the amounts of expression are compared to analyze the nucleotide sequences contributing to the increasing effect on transcription efficiency. More particularly, for example, various DNAs comprising a nucleotide sequence in which one or more bases are deleted, substituted, or added in the nucleotide sequence shown in SEQ ID No: 1 or SEQ ID No: 7 are prepared, and a reporter gene, for example,  $\beta$ -glucuronidase gene, is linked downstream to each of the DNAs. These constructs are then introduced into cultured plant cells such as tobacco cultured cell BY-2 by using procedures such as the particle gun method or Agrobacterium infection as described below. To define nucleotide sequences that contribute to the increasing effect on transcription efficiency, the amount of expression of the reporter gene in each cell may be then compared with each other by preparing a cell extract from the cultured cells and measuring the extract for its  $\beta$ -glucuronidase activity by an enzymological technique to use the value of activity as an indicator, or by immersing the cultured cells in a staining solution containing 5-bromo-4-chloro-3-indolyl- $\beta$ -D-glucuronide and observing deposition of the blue dye to use the degree of dye deposition as an indicator, and the results may be collated with the nucleotide sequences of the above DNA linked to the reporter gene. Alternatively, the above DNA linked to a reporter gene may also be similarly introduced into a plant cell such as a cell from a wild-type strain of tobacco, and the plant may be regenerated from the cell. To define the nucleotide sequences that contribute to the

increasing effect on transcription efficiency, the amount of expression of the reporter gene controlled by each of the above DNAs may be then compared by measuring the  $\beta$ -glucuronidase activity in tissues from the plant or its progeny, or by immersing tissues or sections thereof from the plant or its progeny in a staining solution containing 5-bromo-4-chloro-3-indolyl- $\beta$ -D-glucuronide and observing deposition of the blue dye to use the degree of dye deposition as an indicator.

In the present invention, the term "terminator" means DNA that has a function of giving instructions to terminate transcription of a gene of interest located upstream from said DNA. A terminator of the present invention comprises the following DNA (a) or (b) (hereinafter referred to as the present terminator DNA):

- (a) DNA comprising the nucleotide sequence shown in SEQ ID No: 2, or
- (b) DNA comprising a nucleotide sequence in which one or more bases are deleted, substituted, or added in the nucleotide sequence shown in SEQ ID No: 2 and which has more than 90% identity to the nucleotide sequence of any region consisting of 250 bp or more within the nucleotide sequence shown in SEQ ID No: 2, wherein said DNA has biological functions equivalent to those of the above DNA (a).

In this context, examples of "nucleotide sequence in which one or more bases are deleted, substituted, or added" may include those nucleotide sequences that contain naturally occurring variations resulted from the species or individual difference between organisms or the difference between tissues from which the DNAs are obtained, or variations artificially introduced into the DNA. DNA which "has biological functions equivalent to those of the above DNA (a)" may be, for example, those DNAs that comprise a nucleotide sequence in which one or more bases are deleted, substituted, or added in the nucleotide sequence shown in SEQ ID No: 2, provided they retain the terminator functions in plant cells. Such DNAs may be those DNAs that are cloned from natural sources, or that contain artificially introduced deletion, substitution, or addition of base(s) in DNAs cloned from natural sources, or that are artificially produced by chemical synthesis.

And it is possible to increase expression efficiency of a desired gene by conducting a step in which a terminator of the present invention and the desired gene

located upstream from said terminator are placed in host cells, and a step in which the desired gene is expressed in the host cells under the control of said terminator.

The present terminator DNAs may be isolated according to the methods described above for obtaining the present promoter DNAs based on the nucleotide sequence shown in SEQ ID No. 2. A specific example may be the method in which genomic DNA derived from a plant such as carrot is used as template to conduct one to several rounds of PCR (reaction conditions: for example, one to several rounds of PCR each conducted for 30-40 cycles of incubation at 94°C for 1 min; at 55°C for 2 min; and then at 74°C for 3 min per cycle) using, as primers, an oligonucleotide having the nucleotide sequence indicated by base numbers 1 to 20 in SEQ ID No: 2 and an oligonucleotide having a nucleotide sequence complementary to the nucleotide sequence indicated by base numbers 827 to 851 in SEQ ID No: 2.

In order to confirm the terminator functions of the present terminator DNA in plant cells, for example, a promoter capable of functioning in plant cells, a reporter gene such as  $\beta$ -glucuronidase gene, and the present terminator DNA are linked together in the form that allows expression, while, as a control, the promoter and  $\beta$ -glucuronidase gene are linked in the same manner. Each of these constructs is introduced into cultured plant cells, for example, tobacco cultured cell BY-2, by using procedures such as the particle gun method or Agrobacterium infection as described below. Then, by preparing a cell extract from each cell and measuring the extract for its  $\beta$ -glucuronidase activity to use the value of said activity as an indicator, or by immersing said cells in a staining solution containing 5-bromo-4-chloro-3-indolyl- $\beta$ -D-glucuronide and observing deposition of the blue dye to use the degree of dye deposition as an indicator, it is confirmed that the amount of  $\beta$ -glucuronidase expression is higher, when compared to the control, in plant cells into which the promoter,  $\beta$ -glucuronidase gene, and the present terminator DNA linked together are introduced. Or similarly, a promoter capable of functioning in plant cells,  $\beta$ -glucuronidase gene, and the present terminator DNA are linked together, while, as a control, the promoter and  $\beta$ -glucuronidase gene are linked in the same manner, and each of these constructs are then introduced into a plant cell such as a cell from a wild-type strain of tobacco, and a plant may be regenerated from the cell.

The presence or absence of terminator functions of the present terminator DNA may be confirmed by measuring the  $\beta$ -glucuronidase activity in tissues from the plant regenerated or its progeny, or by immersing tissues or sections thereof from the plant or its progeny in a staining solution containing 5-bromo-4-chloro-3-indolyl- $\beta$ -D-glucuronide and observing deposition of the blue dye to use the degree of dye deposition as an indicator.

Furthermore, the present terminator DNA may also be obtained by labeling, for example, DNA comprising at least part of the nucleotide sequence shown in SEQ ID No: 2, and hybridizing it as a probe to DNAs derived from a plant or the like to detect and clone DNAs to which the probe specifically binds.

In this procedure, for example, a genomic DNA library derived from a plant such as carrot may be used as DNAs to which the above probe is allowed to hybridize. As such DNA libraries, commercially available genomic DNA libraries may be used, or genomic DNA libraries may also be prepared for use, according to the usual procedures for library preparation described in, e.g., "Molecular Cloning: A Laboratory Manual 2nd edition" (1989) (Cold Spring Harbor Laboratory Press) or "Current Protocols In Molecular Biology" (1987) (John Wiley & Sons, Inc., ISBN0-471-50338-X), for example, using a  $\lambda$  vector such as  $\lambda$  FIX II,  $\lambda$  EMBL3,  $\lambda$  EMBL4, or  $\lambda$  DASH II (STRATAGENE) together with Gigapack packaging Extracts (STRATAGENE) for in vitro packaging.

The method by which a probe is allowed to hybridize with such DNAs may include colony hybridization and plaque hybridization, and an appropriate method may be selected depending on the type of vector used in the library preparation. In the case that the library used has been constructed with a plasmid vector, colony hybridization is conducted. Specifically, DNAs in the library are firstly introduced into host microorganisms to obtain transformants, and the transformants obtained were diluted, plated onto agar medium, and cultured at 37°C until colonies appear. On the other hand, in the case that the library used has been constructed with a phage vector, plaque hybridization is conducted. Specifically, host microorganisms and phages in the library are firstly mixed under conditions that allow infection, then further mixed with

soft agar medium, and plated onto agar medium. It is then cultured at 37°C until plaques appear. More particularly, for example, according to the procedures described in, e.g., "Molecular Cloning 2nd edition" (J. Sambrook, E. F. Frisch, and T. Maniatis, Cold Spring Harbor Laboratory Press, 1989) 2.60-2.65, about  $9.0 \times 10^5$  pfu of the phage library is spread in NZY agar medium at a density of 0.1-1.0 pfu per mm<sup>2</sup> agar medium, and cultured at 37°C for 6-10 hours.

In both of the above hybridization procedures, a membrane is then put onto the surface of the agar medium on which the above culture has been grown, and the transformants carrying plasmids or the phages are transferred onto the membrane. After treating with alkali, the membrane is neutralized, and further treated to fix DNAs onto the membrane. More particularly, for example, in the case of plaque hybridization, a filter such as nitrocellulose or nylon membrane (e.g., Hybond-N+ (Amersham Pharmacia Biotech, Inc.)) is put onto the above-described agar medium, and left for about one minute to allow phage particles to adsorb on the membrane, according to the usual procedures described in, e.g., "Kuroningu to Shikuensu: Shokubutsu Baiotekunoroji Jikken Manyuaru (translation: Cloning and Sequencing: Plant Biotechnology Experimental Manual)" (Watanabe and Sugiura eds., Noson-bunka-sha, 1989). Next, the membrane is immersed in an alkaline solution (1.5 M sodium chloride, 0.5 N NaOH) for about 3 minutes to lyse the phage particles and thereby release phage DNAs onto the membrane, and then treated by immersing the membrane in a neutralizing solution (1.5 M sodium chloride, 0.5 M Tris-HCl buffer, pH 7.5) for about 5 minutes. After washing with a washing solution (300 mM sodium chloride, 30 mM sodium citrate, 200mM Tris-HCl buffer) for about 5 minutes, the membrane is baked at about 80°C for about 90 minutes to fix the phage DNAs onto the membrane.

The membrane thus prepared is used to conduct hybridization with the above DNA as a probe. For hybridization procedures, one may refer to, for example, D. M. Glover ed., "DNA cloning, a practical approach" (IRL Press, 1985, ISBN 0-947946-18-7); "Kuroningu to Shikuensu: Shokubutsu Baiotekunoroji Jikken Manyuaru (translation: Cloning and Sequencing: Plant Biotechnology Experimental Manual)" (Watanabe and Sugiura eds., Noson-bunka-sha, 1989); or "Molecular Cloning 2nd



edition" (J. Sambrook, E. F. Frisch, and T. Maniatis, Cold Spring Harbor Laboratory Press, 1989).

In order to label the DNA used as a probe with a radioisotope, for example, Random Labeling Kit (Boehringer Mannheim or Takara Shuzo Co., Ltd.) may be used, or it is also possible to label the DNA by conducting PCR using DNA for probe as a template, with substituting [ $\alpha$ - $^{32}$ P] dCTP for dCTP used in the usual PCR composition. Alternatively, in order to label the DNA used as a probe with a fluorescent dye, for example, ECL Direct Nucleic Acid Labeling and Detection System (Amersham Pharmacia Biotech, Inc.) may be used.

Many kinds of reagent and temperature conditions are available for conducting hybridization. For example, a pre-hybridization solution which contains 450-900 mM sodium chloride, 45-90 mM sodium citrate, 0.1-1.0% sodium dodecyl sulfate (SDS), and 0-200  $\mu$ g/ml denatured non-specific DNA and which, in some cases, may optionally contain each 0-0.2% albumin, Ficoll, polyvinylpyrrolidone, or the like, preferably a pre-hybridization solution containing 900 mM sodium chloride, 90 mM sodium citrate, 1% SDS, and 100  $\mu$ g/ml denatured calf-thymus DNA, is prepared in a volume of 50-200  $\mu$ l per  $\text{cm}^2$  of the membrane prepared as above, and the above membrane is immersed and incubated in the solution at 42-68°C for 1-4 hours, preferably at 45°C for 2 hours. Then, for example, a hybridization solution which contains 450-900 mM sodium chloride, 45-90 mM sodium citrate, 0.1-1.0% SDS, and 0-200 mg/ml denatured non-specific DNA and which, in some cases, may optionally contain each 0-0.2% albumin, Ficoll, polyvinylpyrrolidone, or the like, preferably a hybridization solution containing 900 mM sodium chloride, 90 mM sodium citrate, 1% SDS, and 100  $\mu$ g/ml denatured calf-thymus DNA, is mixed with the probe obtained by the above described procedures (at an amount equivalent to  $1.0 \times 10^4$ - $2.0 \times 10^6$  cpm per  $\text{cm}^2$  membrane) to prepare a mixed solution in a volume of 50-200  $\mu$ l per  $\text{cm}^2$  membrane, and the membrane is immersed and incubated in the mixed solution at 42-68°C for 4-20 hours, preferably at 45°C for 16 hours, to achieve hybridization. After the hybridization reaction, the membrane is recovered, and washed 1-4 times each for 10-60 minutes, preferably twice each for 15 minutes, with a washing solution, for example, which contains 15-300 mM

sodium chloride, 1.5-30 mM sodium citrate, 0.1-1.0% SDS, and the like, at 42-68°C, preferably with a washing solution containing 300 mM sodium chloride, 30 mM sodium citrate, and 1% SDS at 55°C. Furthermore, the membrane is briefly rinsed with 2 × SSC solution (300 mM sodium chloride, 30 mM sodium citrate), and then dried. The positions of DNAs on the membrane that hybridize with the probe used are detected, for example, by subjecting this membrane to autoradiography to detect the positions of the probe on the membrane. The clones corresponding to the positions of the detected DNAs on the membrane are identified on the original agar medium, and can be picked up to isolate clones carrying those DNAs. Specifically, the membrane is exposed to an imaging plate (Fuji Photo Film Co., Ltd.) for 4 hours, and this imaging plate is analyzed using BAS 2000 (Fuji Photo Film Co., Ltd.) to detect signals. From the agar medium used for preparation of the membrane, the parts corresponding to the positions at which signals are detected are cut out as about 5-mm square sections, and these sections are immersed in about 500 µl of SM buffer (50 mM Tris-HCl buffer, pH 7.5, 0.1 M sodium chloride, 7 mM magnesium sulfate, 0.01% gelatin) for 2-16 hours, preferably for 3 hours, to elute the phage particles. The phage particle eluate obtained is spread on agar medium according to the method described in "Molecular Cloning 2nd edition" (J. Sambrook, E. F. Frisch, and T. Maniatis, Cold Spring Harbor Laboratory Press, 1989) 2.60-2.65, and cultured at 37°C for 6-10 hours. Using this agar medium, phage DNAs are fixed onto a membrane in the same manner as that described above, and this membrane and the above-described probe are used to conduct hybridization. From the sections, of the agar medium used for preparation of the membrane, that correspond to the positions at which signals are detected, phage particles are eluted, spread on agar medium, and used in preparation of membrane in the same manner as that described above, to conduct hybridization. Such identification and purification steps are repeated to obtain a phage clone that carries DNA having a nucleotide sequence which hybridizes to the probe used.

DNA contained in a clone obtained by conducting a screening via hybridization as described above may be subcloned into a plasmid vector which allows easy preparation or analysis of DNA, such as commercially available pUC18, pUC19,

pBluescript KS+, or pBluescript KS-, to prepare the plasmid DNA, and its nucleotide sequence can be determined using the dye deoxy terminating method described in, for example, "Molecular Cloning: A Laboratory Manual 2nd edition" (1989) (Cold Spring Harbor Laboratory Press) 13.42-13.74. Samples used for nucleotide sequence analysis may be prepared, for example, according to the primer extension method described in, e.g., "Molecular Cloning 2nd edition" (J. Sambrook, E. F. Frisch, and T. Maniatis, Cold Spring Harbor Laboratory Press, 1989) 13.15. Alternatively, in order to analyze the nucleotide sequence, the phage clone may be amplified in NZYM liquid medium according to the method described in, for example, "Molecular Cloning 2nd edition" (J. Sambrook, E. F. Frisch, and T. Maniatis, Cold Spring Harbor Laboratory Press, 1989) 2.60-2.65, to prepare a phage solution. From this phage solution, the phage clone DNA may be then extracted using, for example, Lambda-TRAP PLUS DNA Isolation Kit (Clontech Laboratories, Inc.), and the DNA obtained may be used as a template in the primer extension method described above to prepare samples for nucleotide sequence analysis.

The present terminator DNA is obtained by assaying, as described above, the terminator functions of DNA thus obtained.

In order to express a desired gene using a promoter of the present invention in host cells such as those of plants, a gene in which the promoter of the present invention and the desired gene, and further a terminator as needed, are linked to each other in the form capable of functioning (hereinafter referred to as chimeric gene of the present invention) may be used. In this context, desired genes are those genes to be expressed in host cells such as those of plants, including, for example, genes encoding proteins such as enzymes, storage proteins, receptors, transcriptional factors, or signal transduction factors, and these genes may be suitably linked downstream to the promoter of the present invention in the sense or antisense direction depending on their purposes. Terminators used are not specifically limited as long as they have the function of giving instructions to terminate transcription in host cells into which they are introduced, and may include, for example, the terminator of nopaline synthase gene derived from Ti plasmid of *Agrobacterium* (nos-t) and terminators derived from

plant viruses such as garlic viruses GV1 and GV2, as well as terminators of the present invention. Furthermore, chimeric genes of the present invention may repeatedly contain the nucleotide sequence of a promoter of the present invention or part thereof. In this context, the phrase "in the form capable of functioning" means that a desired gene contained in the chimeric gene of the present invention has been linked to a promoter of the present invention and/or a terminator in such a way that, when a host cell is transformed by introduction of said chimeric gene, the gene is expressed in the host cell under the control of the promoter and/or the terminator.

Regarding vectors containing a promoter of the present invention, the term "vector" refers to DNA capable of propagating in host cells, including, for example, plasmids, phages, and phagemids which can propagate in cells such as *Escherichia coli*, yeasts, plant cells, or animal cells, and such vectors are appropriately selected depending on the host cell and their purposes. Specific examples may be pUC-based plasmids [such as pUC118, pUC119 (Takara Shuzo Co., Ltd.)], pSC101-based plasmids, Ti plasmids [such as pBI101, pBI121 (Clontech Laboratories, Inc.)], Bluescript phagemids [such as pBluescript SK (+/-) (STRATAGENE)], M13-based phages [such as mp10, mp11 (Amersham Pharmacia Biotech, Inc.)],  $\lambda$ -based phages [such as  $\lambda$  gt10,  $\lambda$  gt11 (Amersham Pharmacia Biotech, Inc.)], or cosmids [such as SuperCos I (STRATAGENE)], and by incorporating a promoter of the present invention into such vector by the usual gene engineering techniques, a vector containing the promoter of the present invention may be constructed.

If, in such vectors containing a promoter of the present invention, a gene insertion site and a terminator further exist downstream from the promoter, the vectors may be preferably used in construction of vectors for expressing a desired gene in host cells. In this context, the term "gene insertion site" refers to, for example, a nucleotide sequence that can be specifically recognized and cleaved by a restriction enzyme commonly used in genetic engineering techniques, and preferably, to a restriction enzyme-recognition nucleotide sequence of the kind that uniquely exists on the vector containing a promoter of the present invention and a terminator. Such gene insertion site, a promoter of the present invention, and a terminator are preferably located such

that when a desired gene is inserted into the gene insertion site, the promoter of the present invention, the desired gene, and the terminator are linked together on the vector in the form capable of functioning. Such vector may be constructed, for example, by inserting DNA of a promoter of the present invention into a plasmid containing a gene insertion site and a terminator, and specifically, into the multicloning site (gene insertion site) of pBI101.3 (Clontech Laboratories, Inc.) or the like. Alternatively, it may also be constructed by inserting a promoter of the present invention and a terminator into a vector containing a gene insertion site, and specifically, into the multicloning site (gene insertion site) of pBIN19 (Nucl. Acid. Res. 12:8711-8721 (1984)) or the like.

A vector containing a chimeric gene of the present invention may be preferably used to introduce the chimeric gene into host cells, and may be prepared by cloning the chimeric gene into a vector as described above, or by cloning a desired gene into a gene insertion site on a vector as described above which contains a promoter of the present invention, a gene insertion site, and a terminator. For example, a vector as described above which is prepared using pBI101.3 (Clontech Laboratories, Inc.) may be cleaved with restriction enzymes to remove the reporter gene ( $\beta$ -glucuronidase gene) exists on said vector, and a desired gene may be inserted in place of the reporter gene to prepare a vector containing a chimeric gene of the present invention.

A vector of the present invention as described above may contain a desired gene and/or a terminator in addition to a promoter of the present invention, and it may further contain a marker gene for selecting host cells into which the vector has been introduced (for example, kanamycin resistance gene, hygromycin resistance gene, neomycin resistance gene, a gene capable of conferring herbicide resistance on plants, or the like). Furthermore, the vector may also contain the nucleotide sequence of a promoter of the present invention in repeated form.

A vector of the present invention may be introduced into host cells such as *E. coli* or *Agrobacterium*, for example, by a method such as the calcium chloride method or electroporation method described in, e.g., J. Sambrook, E. F. Frisch, and T. Maniatis, "Molecular Cloning 2nd edition" (1989) (Cold Spring Harbor Laboratory Press). The above microbial cells into which a vector of the present invention has been introduced

(transformants) are useful for preparation of a chimeric gene DNA of the present invention or for introduction of the chimeric gene into plant cells.

Alternatively, DNA encoding a promoter of the present invention or DNA of a chimeric gene of the present invention may be introduced into plant cells as host cells, for example, by the particle gun method (a method of direct introduction into plant tissues or cultured cells using a particle gun). Similarly, a vector of the present invention may also be introduced into plant cells as host cells by any of publicly known methods such as *Agrobacterium* infection (a method in which plant tissues are infected with *Agrobacterium*), electrical transfections (electroporation method or electrical transfection method into protoplasts), or the particle gun method.

Among transformants wherein any one of a promoter of the present invention, a chimeric gene of the present invention, or a vector of the present invention has been introduced in host cells, examples of those transformants that are plants may include monocotyledons such as rice, corn, barley, and wheat, and dicotyledons, including legumes such as soybean, pea, bean, and alfalfa; solanaceous plants such as tobacco, tomato, and potato; brassicaceous plants such as cabbage, rape, and leaf mustard; cucurbitaceous plants such as melon, pumpkin and cucumber; umbellifers such as carrot and celery; asteraceous plants such as lettuce.

Transformants may be produced by introducing a promoter of the present invention, a chimeric gene of the present invention, or a vector of the present invention into host cells as described above. For example, host cells in which a promoter of the present invention has been inserted upstream to a desired gene on the genomic DNA and which expresses the desired gene under the control of the promoter of the present invention, or host cells in which a chimeric gene of the present invention has been inserted into the genomic DNA and which expresses the gene contained in the chimeric gene under the control of the promoter of the present invention, or host cells which harbors a vector containing a chimeric gene of the present invention and expresses the gene contained in the chimeric gene under the control of the promoter of the present invention may be obtained.

Plant cells that are transformants thus obtained may be regenerated according

to any method used in the usual plant tissue culture techniques described in, for example, S. B. Gelvin, R. A. Schilperoot and D. P. S. Verma, "Plant Molecular Biology Manual" (Kluwer Academic Publishers, 1988); Ko Simamoto and Kiyotaka Okada eds. "Model-Shokubutu-No-Jikken-Protocol (Ine, Shiroinunazuna-Hen) (translation: Experimental protocols for model plants (rice and Arabidopsis thaliana))" (Shujun-sha, ISBN4-87962-157-9, C3345, 1996), pp. 78-143; or Hirofumi Uchimiya "Shokubutu-Idenshi-Sosa-Manual: Transgenic-Shokubutu-No-Tukurikata (translation: Plant gene engineering manual: how to produce transgenic plants)" (Kodansha-Scientific, 1990, ISBN4-06-153513-7 C3045), pp. 28-33; and transformed plant thereof derived from said plant cells may be thereby obtained. Furthermore, by growing and inbreeding the plant thus obtained, progenies of the plant may be obtained. In the present invention, all of these are included in transformants.

In order to confirm successful introduction of the desired gene, DNAs may be extracted from the transformants as described above according to the usual gene engineering techniques, cleaved with a restriction enzyme, and used to conduct Southern hybridization with DNA used in transformation of the host cells, or part thereof, as a probe. Similarly, in order to study the expression state of the desired gene, RNAs may be extracted from such transformants according to the usual genetic engineering techniques, and used to conduct Northern hybridization using, as a probe, an oligonucleotide or DNA having the sense or antisense nucleotide sequence of the gene of interest to be expressed under the control of the promoter of the present invention.

Useful traits may be provided to a host organism such as plant by linking a particular gene in the sense direction under the control of promoter of the present invention and expressing it in cells of the host organism. For example, resistance against bacteria, fungi, viruses, insects and the like may be enhanced in tissues of host organisms by expressing a defensive gene such as phenylalanine ammonia lyase gene (PAL), chalcone synthase gene (CHS), chitinase gene (CHT), lysozyme gene or PR protein gene; a disease resistance gene such as Pto gene; a viral coat protein gene; BT (*Bacillus thuringiensis*) insecticidal protein gene, or the like. Similarly, various protein contents or essential amino acid contents in feed crops may be increased by expressing a

storage protein gene such as glycinin gene or  $\beta$ -conglycinin gene of soybean in the host cells; the methionine content or lysine content in feed crops may be increased by expressing, for example, 2S albumin gene of Brazil nut, 10 kDa or 15 kDa protein gene of corn or rice; the biotin content in feed crops may be increased by expressing a biotin biosynthesis-related enzyme gene such as bioA, bioB, bioC, bioD, bioF, or bioH enzyme gene; enhancement of oxidation stability of lipids and improvement of lipids due to decrease in phospholipid content and increase in oleic acid and linolenic acid contents may become possible by expressing, for example, stearyl-ACP-desaturase gene, acyl-ACP-thioesterase gene, or 3-phosphate acyltransferase gene; or resistance to low temperatures may be enhanced by increasing the proportion of unsaturated fatty acids by expressing an acyltransferase gene in the host cells. An antibiotic resistance useful in selection of transformants may also be provided by expressing a gene involved in the antibiotic resistance such as kanamycin resistance gene, hygromycin resistance gene, or neomycin resistance gene in the host cell. Furthermore, it is also possible to produce a herbicide resistant crop plant by expressing a gene involved in the herbicide resistance such as L-phosphonothricine acetyl transferase or protoporphyrinogen oxidase (PPO) gene in the host cells.

On the other hand, a particular gene may also be linked in the antisense direction under the control of a promoter of the present invention and expressed in cells of a host organism such as a plant to confer a useful trait on the host organism. For example, the starch component of rice seeds may be improved by expressing, in the host cells, an antisense gene against an amylopectin degrading enzyme gene such as that for isomerase of rice; shelf stability of fruits, flower, or the like may be enhanced by expressing, in the host cells, an antisense gene against an ethylene synthetic enzyme gene such as that for 1-aminocyclopropane-1-carboxylate (ACC) synthase of pumpkin or the like; or shelf stability of fruits may also be enhanced by expressing, in the host cells, an antisense gene against polygalacturonase gene of tomato.

Furthermore, fertilities of plants may also be controlled by expressing, in the host cells, a sense or antisense gene of a male sterility-related gene such as S-locus-specific RNase gene.



## EXAMPLES

The present invention is illustrated by referring to the following Examples, but the present invention is not limited to such Examples.

### Example 1: Isolation of promoter and terminator of the present invention

A promoter of the present invention and a terminator of the present invention were obtained by conducting inverse polymerase chain reaction (inverse PCR) using genomic DNA prepared from carrot leaves. The procedures are described below.

#### (1) Preparation of carrot genomic DNA

Ten gram of carrot leaves at 6 week-old seeding was ground in liquid nitrogen, suspended in 5 ml of 2 × CTAB solution (2% cetyltrimethylammonium bromide, 100 mM Tris-HCl buffer pH 8.0, 20 mM EDTA pH 8.0, 1.4 M sodium chloride, 1% polyvinylpyrrolidone), and then incubated at 55°C for 10 minutes. To this, an equal volume of chloroform/isoamyl alcohol (24:1) was added, gently mixed for 30 minutes at room temperature, and then centrifuged to recover the upper and lower layers separately.

(1) An equal volume of chloroform/isoamyl alcohol (24:1) was added to the upper layer, while (2) an equal volume of 1 × CTAB solution (a solution obtained by two-fold diluting 2 × CTAB solution with sterile distilled water) was added to the lower layer.

After gently mixing each mixture for 10 minutes at room temperature, they were centrifuged again, and the upper layers recovered from (1) and (2) were mixed with each other. To this, a 1/10 volume of 10% CTAB solution (10% cetyltrimethylammonium bromide, 0.7 M sodium chloride) and an equal volume of precipitation buffer (2% cetyltrimethylammonium bromide, 50 mM Tris-HCl buffer pH 8.0, 10 mM EDTA pH 8.0) were added, gently mixed, and then centrifuged. The precipitate was recovered, suspended in 1 M sodium chloride-TE (1 M sodium chloride, 10 mM Tris-HCl buffer pH 8.0, 1 mM EDTA pH 8.0), and an equal volume of isopropanol was further added thereto and gently mixed, followed by centrifugation. The precipitate obtained was rinsed with 70% ethanol, briefly dried, and then suspended in TE. To the suspension, RNase was added to attain a final concentration of 10 µg/ml, incubated at 37°C for 30

minutes, and then a 1/4 volume of 4 M ammonium acetate and two volumes of 100% ethanol were added, mixed, and allowed to stand. DNAs precipitated were recovered by winding them onto a Pasteur pipette, rinsed with 70% ethanol, briefly dried, and suspended in TE (10 mM Tris-HCl buffer pH 8.0, 1 mM EDTA pH8.0). This DNA solution was appropriately diluted, and subjected to absorbance measurement and agarose gel electrophoresis. As a result, it was confirmed that about 350 µg of genomic DNA had been obtained.

(2) Amplification of DNA containing promoter and terminator of the present invention by PCR

Ten µg of genomic DNA obtained in (1) was treated with 100 U of Pvu II to digest it completely. A 1/10 volume of 3 M sodium acetate and two volumes of 100% ethanol were then added, mixed, and centrifuged at 15000 rpm for 10 minutes at 4°C to recover the precipitated DNA. The precipitate was rinsed with 70% ethanol, and suspended in TE to attain a final concentration of 20 ng/µl. Ligation reaction was conducted using this DNA solution and a ligation kit (Takara Shuzo Co., Ltd.) at a final concentration of 1 ng/µl in a reaction volume of 400 µl, and the reaction mixture was then used as template to conduct PCR (40 cycles of incubation at 94°C for 1 min, then at 55°C for 2 min, and further at 74°C for 3 min per cycle) using oligonucleotides A and B having the following nucleotide sequences:

oligonucleotide A: 5'-GGGTT TCAAT GGATT CGATG-3' (20 mer) and

oligonucleotide B: 5'-GCAGA TGCTC AGAAC ACTGC-3' (20 mer).

Furthermore, another PCR (40 cycles of incubation at 94°C for 1 min, then at 55°C for 2 min, and further at 74°C for 3 min per cycle) was conducted using part of the above PCR mixture and oligonucleotides C and D having the following nucleotide sequences:  
oligonucleotide C: 5'-GGCAG CTGGC ACCCA TGATA TTAG AATG-3' (29 mer)  
oligonucleotide D: 5'-GGCAG CTGTT CATAA TTTAC AGAGT GAGTG ACAGT CAG-3' (38 mer).

By analyzing part of the reacted solution on 0.8% agarose gel, it was confirmed that a DNA fragment of about 3 kb had been amplified.

(3) Cloning and sequencing of promoter and terminator of the present invention

The PCR mixture obtained in (2) was adjusted to a volume of 200  $\mu$ l by adding TE. To this solution, an equal amount of neutralized phenol/chloroform/isoamyl alcohol (25:24:1) was added, thoroughly mixed, and then centrifuged at 15,000 rpm for 5 min at 20°C to recover the upper layer. To this, a 1/10 volume of 3 M sodium acetate and two volumes of 100% ethanol were added, mixed, and then centrifuged at 15,000 rpm for 10 min at 4°C to recover the precipitated DNA. After rinsing with 70% ethanol, the DNA was suspended in TE, and treated with 30 U of Pvu II to digest it completely. After the reaction, phenol treatment and ethanol precipitation were conducted as described above. The DNA recovered was suspended in 50  $\mu$ l of TE, and subjected to Spin Column S-400 (Amersham Pharmacia Biotech, Inc) for purification. Analysis of 1  $\mu$ l aliquot of eluate from the column on 0.8% agarose gel revealed that there existed DNA fragments of about 2 kb and about 1 kb in size. Two  $\mu$ g of pUC18 vector was treated with 10 U of Sma I to digest it completely, and further treated with alkaline phosphatase (Takara Shuzo Co., Ltd.) to conduct dephosphorylation. The reaction liquid was subjected to phenol treatment and ethanol precipitation as described above to recover the vector DNA, which was then suspended in TE. Fifty ng of this vector DNA and 50 ng of the fragments described above were subjected to ligation reaction using a ligation kit (Takara Shuzo Co., Ltd.), and then introduced into competent cells of E. coli strain JM109 (Takara Shuzo Co., Ltd.). The bacterial strain that has gone through the introduction step was cultured on LB medium containing 100  $\mu$ g/ml ampicillin, and plasmid DNAs were prepared from growing clones. By cleaving the DNAs with the restriction enzyme and subjecting them to agarose gel electrophoresis, candidate clones containing desired DNA fragments of about 2 kb and about 1 kb in size were each selected. Using the following oligonucleotides E and F having the nucleotide sequences that exist in pUC18 vector:

oligonucleotide E: 5'-AACAA TTTCA CACAG GAAAC AGCTA TGACC-3' (30 mer)

oligonucleotide F: 5'-CAGTC ACGAC GTTGT AAAAC GACGG CCAGT-3' (30 mer)

as primers, the nucleotide sequences of DNAs carried in the candidate clones were analyzed using Taq Dye Deoxy Terminator Cycle Sequencing Kit (PE Biosystems) and

a fluorescence sequencer (PE Biosystems). On the basis of the nucleotide sequences thus revealed, oligonucleotides were synthesized, and used as primers to analyze the nucleotide sequences in the same manner as that described above. As a result, the nucleotide sequence shown in SEQ ID No: 1 and the nucleotide sequence shown in SEQ ID No: 2 were determined.

Example 2: Production of transgenic plant into which promoter of the present invention has been introduced

(1) Construction of Ti plasmid expression vector

Oligonucleotides G and H having the following nucleotide sequences that contain restriction enzyme-recognition nucleotide sequences for cloning:  
oligonucleotide G: 5'-GGAAG CTTCA TGTGT GCCCT ACAGC ACA-3' (28 mer)  
oligonucleotide H: 5'-GGTCT AGAGA TCTTT AGAAT GTGAT TGCTG-3' (30 mer),  
were synthesized and used as primers to conduct PCR (40 cycle of incubation at 94°C for 1 min, then at 55°C for 2 min, and further at 74°C for 3 min per cycle) using, as a template, the plasmid having the nucleotide sequence shown in SEQ ID No: 1 that was obtained in Example 1. The amplified DNA fragment was treated with 10 U of Hind III and 10 U of Xba I to digest it completely, and part of the digest was then fractionated on 0.8% agarose gel. The DNA band of about 1.7 kb in size was excised, and DNA contained in the section was purified using glass beads (Bio-Rad Laboratories). Similarly, the remaining solution was fractionated on 4% Nusieve Agarose gel (FMC BioProducts), the band of about 250 bp in size was excised, and DNA contained in the section was purified. Two µg of vector pIG121HM (see Fig. 3), which is derived from pBIN19 described in, e.g., Ohta, S. et al., *Plant Cell Physiol.* 31(6):805-813 (1990) and Hiei, Y. et al., *Plant J.* 6:271-282 (1994), was digested with 10 U of Hind III and 10 U of Xba I, and further treated with alkaline phosphatase to conduct dephosphorylation. Phenol treatment and ethanol precipitation were then conducted as described above to recover the vector DNA. After the vector DNA and the above two DNA fragments (about 1.7 kb and about 250 bp) were subjected to ligation reaction using a ligation kit, the ligate was introduced into competent cells of *E. coli* strain HB101 (Takara Shuzo

Co., Ltd.), and the bacterial strain which has gone through the introduction step was cultured on LB medium containing 50 µg/ml kanamycin. Plasmid DNAs were prepared from growing clones, cleaved with restriction enzymes Hind III and Xba I, and analyzed by agarose gel electrophoresis to select a plasmid that contains both the 1.7 kb DNA and the about 250 bp DNA fragment described above. Furthermore, using the selected plasmid as a template, PCR (40 cycles of incubation at 94°C for 1 min, then at 55°C for 2 min, and further at 74°C for 3 min per cycle) was conducted using the aforementioned oligonucleotides G and H as primers:

oligonucleotide G: 5'-GGAAG CTTCA TGTGT GCCCT ACAGC ACA-3' (28 mer)

oligonucleotide H: 5'-GGTCT AGAGA TCTTT AGAAT GTGAT TGCTG-3' (30 mer).

As a result, it was confirmed that a DNA fragment about 2 kb was amplified, and Ti plasmid expression vector pBICR16G6P (Fig. 3) containing the promoter of the present invention upstream from  $\beta$ -glucuronidase gene was thus obtained.

## (2) Obtaining transgenic plant

The transgenic plant was obtained by the method described in S. B. Gelvin, R. A. Schilperoort and D. P. S. Verma, "Plant Molecular Biology/Manual" (1988) (Kluwer Academic Publishers) and Valvekens et al., Proc. Natl. Acad. Sci., 85:5536-5540 (1988).

Agrobacterium strain C58C1, which may be prepared from a commercially available standard strain according to the method described in, e.g., Deblaere, R. et al., Nucleic Acids Res. 13:4777-4788 (1985), was cultured in YEB medium overnight with shaking at 30°C, then inoculated into fresh YEB medium, and further cultured until the turbidity of the culture reached OD<sub>600</sub>=0.6. The following manipulations were performed in a cold room. The bacterial cells were collected from the culture fluid by centrifugation, suspended in pre-cooled sterile distilled water, and centrifuged again to harvest them. This washing of bacterial cells was repeated twice, and the similar procedure was further performed using 10% glycerol solution instead of sterile distilled water. The bacterial cells thus obtained were suspended in 10% glycerol so as to yield a 400-fold concentrated suspension as compared to the culture fluid. Into the bacterial cell suspension thus prepared, Ti plasmid expression vector pBICR16G6P (see Fig. 1)

constructed as described above, which contained the promoter of the present invention, or pIG121HM vector as a control was introduced by electroporation, and the bacterial strain that has gone through the introduction treatment was cultured on a YEB plate containing 50 µg/ml kanamycin. A plasmid DNA was prepared from a growing kanamycin-resistant clone by the alkali-SDS method, and the DNA was analyzed by 0.8% agarose gel electrophoresis. By staining the gel with ethidium bromide to detect DNA bands, it was confirmed that the Ti plasmid expression vector has been introduced. Furthermore, using this plasmid DNA as a template, PCR (40 cycles of incubation at 94°C for 1 min, then at 55°C for 2 min, and further at 74°C for 3 min per cycle) was conducted using the aforementioned oligonucleotides G and H as primers:  
oligonucleotide G: 5'-GGAAG CTTCA TGTGT GCCCT ACAGC ACA-3' (28 mer)  
oligonucleotide H: 5'-GGTCT AGAGA TCTTT AGAAT GTGAT TGCTG-3' (30 mer).  
As a result, amplification of a DNA fragment of about 2 kb was confirmed, verifying that the desired expression vector has been introduced.

A section of sterile-cultured tobacco leaf (0.7-cm square) was immersed for 2 minutes in *Agrobacterium* liquid culture incubated overnight at 30°C in YEB liquid medium containing 50 µg/ml kanamycin, and after removing excess liquid with sterile filter paper, placed on MS-NB medium. After cocultivation for 5 days at 25°C under the conditions of 16 hours light and 8 hours dark, the section was washed with MS liquid medium, and placed on MS-NBC medium. After culturing for additional 5 days, the section was transferred onto MS-NBCK medium containing 100 µg/ml kanamycin, and stationally cultured for about one month. The regenerated shoot was cut from the leaf section, and subcultured on MS-CK medium. After about one month, the regenerated plants that rooted were planted on soil to obtain self-pollinated seeds.

#### Example 3: Confirmation of transgene in transgenic plants

The transgenic tobacco seeds was immersed for 5 minutes in 2.5% sodium hypochlorite/0.002% Triton X-100, followed by washing 4 to 5 times with sterile water, and then aseptically germinated by culturing on MS medium containing 100 µg/ml kanamycin. From a plant that exhibited kanamycin resistance, genomic DNA was

prepared by the CTAB method. Using 50 ng of this DNA as a template, PCR (40 cycles of incubation at 94°C for 1 min, then at 55°C for 2 min, and further at 72°C for 3 min per cycle) was conducted either using, as primers, a combination of oligonucleotide I comprising a part of the nucleotide sequence of GUS gene, which is a reporter gene, and oligonucleotide J comprising a part of the nucleotide sequence of the promoter of the present invention containing DNA which comprises the nucleotide sequence shown in SEQ ID.No: 1 or SEQ ID No: 7:

oligonucleotide I: 5'-ATCAA CACCT CAACA TTGAT GTTAG CGTAC-3' (30 mer)

oligonucleotide J: 5'-TCTGC ATCGG CGAAC TGATC-3' (20 mer)

or using, as primers, a combination of oligonucleotide K comprising a part of the nucleotide sequence of GUS gene, which is a reporter gene, and oligonucleotide L comprising a part of the nucleotide sequence of NOS terminator:

oligonucleotide K: 5'-ACATG TGGAG TGAAG AGTAT C-3' (21 mer)

oligonucleotide L: 5'-GATAA TCATC GCAAG ACCGG-3' (20 mer),

and part of the PCR product was fractionated by 0.8% agarose gel electrophoresis. As a result, amplification of desired DNA fragments of about 310 bp and about 400 bp in length, respectively, was confirmed.

#### Example 4A: Confirmation of expression of transgene

Measurement of GUS activity and GUS staining were conducted in leaves and roots of seedling of the transgenic plant obtained in Example 2 according to the methods described in Jefferson, Plant Mol. Biol. Rep. 5:387-405 (1987). The GUS activity was measured by fluorometry using 4-methylumbelliferyl glucuronide as a substrate, and the activity staining was conducted using 5-bromo-4-chloro-3-indolyl- $\beta$ -D-glucuronide (X-Gluc) as a substrate, and the amount of deposited blue dye (indigotin) was measured.

##### (1) GUS Staining

The above-described transgenic tobacco seeds were aseptically germinated by culturing them on MS medium containing 100  $\mu$ g/ml kanamycin. After 1 week, 3 weeks, or 1 month, the kanamycin-resistant plant was pulled out, and immersed overnight in GUS staining solution (1 mM X-Gluc, 0.5 mM  $K_3Fe(CN)_6$ , 0.5 mM

$K_4Fe(CN)_6$ , 0.3% Triton X-100) at 37°C to allow the reaction to proceed. After the reaction, it was decolorized with 100% ethanol, and the staining pattern was observed. As a result, in the transgenic tobacco into which Ti plasmid expression vector pBICR16G6P (see Fig. 1) containing the promoter of the present invention,  $\beta$ -glucuronidase gene linked downstream to the promoter of the present invention on the expression vector was highly expressed in the leaf, root, and stem.

## (2) GUS activity measurement

The above-described transgenic tobacco seeds were aseptically germinated on a medium containing 100  $\mu$ g/ml kanamycin, and further cultured at 25°C. One week, three weeks, or one month after the germination, 0.8 g of root and 0.5 g of leaf from the kanamycin-resistant plant were sampled into mortars, supplemented with 1 ml and 0.5 ml, respectively, of extraction buffer (50 mM phosphate buffer pH 7.0, 10 mM EDTA, 0.1% Triton X-100, 0.1% sarcosyl, 10 mM mercaptoethanol), and ground with appropriate amount of added sea sand. The ground liquid was transferred into an Eppendorf tube, centrifuged, and the supernatant was recovered. Ten to seventy  $\mu$ l of this liquid was added to 500  $\mu$ l of the reaction substrate solution (50 mM phosphate buffer pH 7.0, 10 mM EDTA, 0.1% Triton X-100, 0.1% sarcosyl, 10 mM mercaptoethanol, 1 mM 4-methylumbelliferyl- $\beta$ -D-glucuronide), and incubated at 37°C. One hundred  $\mu$ l aliquots of the reaction liquid were sampled at regular intervals, and 900  $\mu$ l of stop solution (0.2 M sodium carbonate solution) was immediately added thereto and mixed. Fluorescence of the samples thus prepared was measured in a spectrophotofluorometer (HITACHI, Ltd. F-2000) (excitation wavelength: 365 nm, emission wavelength: 455 nm). From the measured value and the protein concentration of the extract from leaf or root, the GUS activity was calculated. The results are shown in Table 1. Quantification of protein concentration was performed by a method using Bio-Rad Laboratories Protein assay reagent. The GUS activity was detected in the samples of leaf and root from the transgenic tobacco into which Ti plasmid expression vector pBICR16G6P containing a promoter of the present invention (the vector contains DNA comprising a promoter of the present invention linked to  $\beta$ -glucuronidase gene) has been introduced. One of the transformants with the highest



GUS activity exhibited 8-fold higher GUS activity comparing the control transformant which was transformed with pIG121HM vector (the vector contains DNA comprising 35S promoter linked to  $\beta$ -glucuronidase gene).

Table 1: Results of GUS activity measurement of transgenic tobacco

[IP-8 to 14 represent tobacco individuals into which Ti plasmid expression vector pBICR16G6P containing the promoter of the present invention has been introduced, and FIG-1 to 2 represent tobacco individuals into which pIG121HM vector has been introduced. Relative ratio is the relative value of GUS activity in leaf or root at each developmental stage, wherein corresponding activity of pIG121HM vector-transformed tobacco (FIG-2) is considered as 1.]

1 week post-seeding

Tobacco individual	GUS activity (pmol-MU/min/mg-protein)			
	Leaf ( $\times 10^4$ )	Relative ratio	Root ( $\times 10^4$ )	Relative ratio
Present Invention (IP-8)	1.40	1.9	1.70	1.4
Present Invention (IP-9)	1.10	1.5	1.10	0.9
Present Invention (IP-10)	1.00	1.4	1.40	1.2
Present Invention (IP-11)	1.20	1.6	1.30	1.1
Present Invention (IP-12)	1.60	2.2	2.40	2.0
Present Invention (IP-13)	1.70	2.3	1.70	1.4
Present Invention (IP-14)	0.64	0.9	0.68	0.6
Comparative Example (FIG-1)	0.71	1.0	1.00	0.8
Comparative Example (FIG-2)	0.74	1.0	1.20	1.0
non-transformed strain SR1	0.00	0.0	0.00	0.0

3 weeks post-seeding

Tobacco individual	GUS activity (pmol-MU/min/mg-protein)			
	Leaf ( $\times 10^4$ )	Relative ratio	Root ( $\times 10^4$ )	Relative ratio
Present Invention (IP-8)	1.90	2.7	3.60	1.6
Present Invention (IP-9)	1.20	1.7	2.30	1.0
Present Invention (IP-10)	2.20	3.1	5.00	2.3
Present Invention (IP-11)	1.40	2.0	3.00	1.4
Present Invention (IP-12)	2.80	3.9	6.00	2.7
Present Invention (IP-13)	1.40	2.0	4.30	2.0
Present Invention (IP-14)	1.80	2.5	6.80	3.1
Comparative Example (PIG-1)	1.00	1.4	1.90	0.9
Comparative Example (PIG-2)	0.71	1.0	2.20	1.0
non-transformed strain SR1	0.00	0.0	0.00	0.0

1 month post-seeding

Tobacco individual	GUS activity (pmol-MU/min/mg-protein)			
	Leaf ( $\times 10^4$ )	Relative ratio	Root ( $\times 10^4$ )	Relative ratio
Present Invention (IP-8)	1.50	2.9	2.60	2.6
Present Invention (IP-9)	1.00	2.0	1.00	1.0
Present Invention (IP-10)	1.10	2.2	2.80	2.8
Present Invention (IP-11)	0.90	1.8	1.40	1.4
Present Invention (IP-12)	3.40	6.7	8.40	8.4
Present Invention (IP-13)	1.50	2.9	3.60	3.6
Present Invention (IP-14)	1.20	2.4	2.50	2.5
Comparative Example (PIG-1)	0.09	0.2	0.88	0.9
Comparative Example (PIG-2)	0.51	1.0	1.00	1.0
non-transformed strain SR1	0.00	0.0	0.00	0.0

#### Example 4B: Confirmation of expression of transgene

##### (1) Obtaining transgenic plant

The other transgenic plant, Arabidopsis, was conducted according to the basically same method as the above example in which Agrobacterium strain C58C1 having the Ti plasmid expression vector pBICR16G6P was used to introduce the vector into the target plant.

After being aseptically germinated, a section (ca. 1 cm) of Arabidopsis root grown on MS medium at 23°C for 2-3 weeks was cultured on CIM agar medium for 2 days, and then it was immersed for 2 minutes in Agrobacterium liquid culture incubated overnight at 30°C in YEB liquid medium containing 50  $\mu$ g/ml kanamycin, and after removing excess liquid with sterile filter paper, placed on CIM agar medium. After culturing for 2 days, the section was transferred onto SIMC agar medium. After

further culturing for 2 days, the section was transferred onto SIMCH agar medium.

The regenerated shoot was cut from the root section, and subcultured on MS medium.

After about one month, the individuals that rooted were planted on soil or rock wool and grown in an growth chamber to obtain inbred seeds.

## (2) Confirmation of transgene in transgenic plant

The transgenic *Arabidopsis* seeds was immersed for 5 minutes in 1.0% sodium hypochlorite, followed by washing 3 to 5 times with sterile water, and then aseptically germinated on MS medium containing 20 µg/ml hygromycin. From 4 or 5 rosette leaves obtained from an individual that exhibited hygromycin resistance, genomic DNA was prepared by the CTAB method. Using 50 ng of this DNA as a template, PCR (40 cycles of incubation at 94°C for 1 min, then at 55°C for 2 min, and further at 72°C for 3 min per cycle) was conducted either using, as primers, a combination of oligonucleotide I comprising a part of the nucleotide sequence of GUS gene, which was a reporter gene, and oligonucleotide J comprising a part of the nucleotide sequence of the promoter of the present invention containing DNA which comprises the nucleotide sequence shown in SEQ ID No: 7:

oligonucleotide I: 5'-ATCAA CACCT CAACA TTGAT GTTAG CGTAC-3' (30 mer)

oligonucleotide J: 5'-TCTGC ATCGG CGAAC TGATC-3' (20 mer)

or using, as primers, a combination of oligonucleotide K comprising a part of the nucleotide sequence of GUS gene, which is a reporter gene, and oligonucleotide L comprising a part of the nucleotide sequence of NOS terminator:

oligonucleotide K: 5'-ACATG TGGAG TGAAG AGTAT C-3' (21 mer)

oligonucleotide L: 5'-GATAA TCATC GCAAG ACCGG-3' (20 mer),

and part of the PCR product was fractionated by 0.8% agarose gel electrophoresis. As a result, amplification of desired DNA fragments of about 310 bp and about 400 bp in

length, respectively, was confirmed.

### (3) Confirmation of expression of transgene

Measurement of GUS activity and GUS staining were conducted in leaves and roots of seedling of the transgenic plant obtained in Example 4B (1) and (2) according to the methods described in Jefferson, Plant Mol. Biol. Rep. 5:387-405 (1987). The GUS activity was measured by fluorometry using 4-methylumbelliferyl glucuronide as a substrate, and the activity staining was conducted using 5-bromo-4-chloro-3-indolyl- $\beta$ -D-glucuronide (X-Gluc) as a substrate, and the amount of deposited blue dye (indigotin) was measured.

#### (3-1) GUS Staining

The above-described transgenic Arabidopsis seeds were aseptically germinated by culturing them on MS medium containing 20  $\mu$ g/ml hygromycin. After 3 weeks, the hygromycin-resistant plant was pulled out, and immersed overnight in GUS staining solution (1 mM X-Gluc, 0.5 mM  $K_3Fe(CN)_6$ , 0.5 mM  $K_4Fe(CN)_6$ , 0.3% Triton X-100) at 37°C to allow the reaction to proceed. After the reaction, it was decolorized with 100% ethanol, and the staining pattern was observed. As a result, in the transgenic Arabidopsis into which Ti plasmid expression vector pBICR16G6P (see Fig. 1) containing the promoter of the present invention,  $\beta$ -glucuronidase gene linked downstream to the promoter of the present invention on the expression vector was highly expressed in the leaf, root, and stem.

#### (3-2) GUS activity measurement

The above-described transgenic Arabidopsis seeds were aseptically germinated by culturing them on a medium containing 20  $\mu$ g/ml hygromycin, and further cultured at 23°C. Three weeks after the seeding, roots and leaves obtained from three individuals that exhibited hygromycin resistance were sampled into mortars, supplemented with 1

ml and 1 ml, respectively, of extraction buffer (50 mM phosphate buffer pH 7.0, 10 mM EDTA, 0.1% Triton X-100, 0.1% sarcosyl, 10 mM mercaptoethanol), and ground with appropriate amount of added sea sand. The ground liquid was transferred into an Eppendorf tube, centrifuged, and the supernatant was recovered. Ten to seventy  $\mu$ l of this liquid was added to 500  $\mu$ l of the reaction substrate solution (50 mM phosphate buffer pH 7.0, 10 mM EDTA, 0.1% Triton X-100, 0.1% sarcosyl, 10 mM mercaptoethanol, 1 mM 4-methylumbelliferyl- $\beta$ -D-glucuronide), and incubated at 37°C. One hundred  $\mu$ l aliquots of the reaction liquid were sampled at regular intervals, and 900  $\mu$ l of stop solution (0.2 M sodium carbonate solution) was immediately added thereto and mixed. Fluorescence of the samples thus prepared was measured in a spectrophotofluorometer (HITACHI, Ltd. F-2000) (excitation wavelength: 365 nm, emission wavelength: 455 nm). From the measured value and the protein concentration of the extract from leaf or root, the GUS activity was calculated. Quantification of protein concentration was performed by a method using Bio-Rad Laboratories Protein assay reagent. As a result, the GUS activity was detected in the samples of leaves and roots from the transgenic Arabidopsis into which Ti plasmid expression vector pBICR16G6P containing the promoter of the present invention (the vector contains DNA comprising the promoter of the present invention linked to  $\beta$ -glucuronidase gene) has been introduced. Some of the transformants exhibited several-fold higher GUS activity comparing the control transformant which was transformed with pIG121HM vector (the vector contains DNA comprising 35S promoter linked to  $\beta$ -glucuronidase gene).

Example 5: Production of transgenic plant into which promoter of the present invention has been introduced and confirmation of expression of transgene

As showed in Example 2 (1), the bacterial clone harboring pBICR16G6P vector was cultured over night at 37°C with 2ml of LB medium including 50  $\mu$ g/ml of kanamycin. Then, pre-culture was inoculated to the 500 ml of LB medium including 50  $\mu$ g/ml of kanamycin and cultured over night 37°C. The bacterial cell was collected by centrifugation at 8000 rpm for 10 minutes and the vector DNA was purified using QIAGEN plasmid purification kit (QIAGEN, Inc. ).

The recovered vector was introduced to the soybean expanded leaf excised from the plant grown in greenhouse and the soybean somatic embryo induced and cultured according to the method described by Finer J. and Nagasawa A. (Plant Cell, Tissue and Organ Culture, 15, 125-136, 1988) using the gene bombardment method (C.M.Particle Gun System, Rehbock Co., Tokyo, Japan: Yang N-S, Christou P, Particle Bombardment Technology for Gene Transfer, W. H. Freeman and Co. Publishers, New York, pp.52-59). The gold particles (Tokuriki Honten Co., Ltd, Japan) of 0.5 ~ 1  $\mu$ m were washed several times with ethanol and prepared 60mg/ml gold particle suspension with sterilized water. Fifty  $\mu$ l of the gold particle suspension, 50  $\mu$ l of 2.5M CaCl<sub>2</sub>, 20  $\mu$ l of 0.1M spermidine were mixed to the 20  $\mu$ l of pBICR16G6P vector suspension (0.5  $\mu$ g/ml). After standing for 30 minutes at room temperature, the gold particles were recovered by the centrifugation at 9000 rpm for 10 seconds and suspended to 65  $\mu$ l of 75% ethanol. The gold particles were recovered by the centrifugation at 9000 rpm for 10 seconds and resuspended to 300  $\mu$ l of 100% ethanol. This gold particle suspension was sonicated (TOMY SEIKO Co., Ltd.) and 20  $\mu$ l of suspension was transferred to the projectile (Rehbock Co., Ltd. Tokyo, Japan) and dried (10  $\mu$ l of suspension was transferred twice). This gold particles were bombarded twice to the soybean expanded leaf or somatic embryo on the MS agar medium under the 110mmHg, 335m/sec condition.

For the control experiment, pBI121 and pBI221(Clontech Laboratories, Inc.) were bombarded to the soybean expanded leaf or somatic embryo as described. After the gene bombardment, the soybean expanded leaf or somatic embryo were left at 25°C for 24hr and then soaked with GUS staining buffer (1mM X-Gluc, 0.5mM K<sub>3</sub>Fe(CN)<sub>6</sub>,



0.5mM  $K_4Fe(CN)_6$ , 0.3% Triton X-100) at 37°C for over night. The blue spots derived from GUS expression were observed on somatic embryo, as well as expanded leaf after de-staining with 100% ethanol in the room temperature. Therefore, strong GUS activity of pBICR16G6P vector was confirmed both in the soybean expanded leaf and somatic embryo.

Example 6: Construction of vector containing promoter and terminator of the present invention

(1) Construction of Ti plasmid derivative (pBI  $\Delta$ )

To construct of the plant expression vector containing a promoter and a terminator of the present invention, as a first step, Ti plasmid derivative (pBI  $\Delta$ ) was constructed as shown in Fig.4. Oligonucleotides P and Q having the following nucleotide sequences that contain restriction enzyme-recognition nucleotide sequences for cloning:

oligonucleotide P : 5'-GGGAA TTCTC AGATT GTCGT TTCCC GCCTT CAG-3'(33mer)

oligonucleotide Q : 5'-CAGAT CTGGG GAACC CTGTG GTTG-3'(24mer)

were synthesized and used as primers to conduct PCR (40 cycle of incubation at 94°C for 1 min, then at 55°C for 2 min, and further at 74°C for 3 min per cycle) using, as a template, 5ng of pBI101 vector (Clontech Laboratories, Inc.). The amplified DNA fragment was treated with 30 U of EcoRI and 30 U of Sph I to digest it completely, and part of the digest was then fractionated on 4.0% Nusieve Agarose gel (FMC BioProducts). The DNA band of 186 bp in size was excised, and DNA contained in the section was purified using glass beads (Bio-Rad Laboratories). Two  $\mu$ g of vector pBI101 (Clontech Laboratories, Inc.) was digested with 10 U of EcoRI and 10 U of Sph I. Phenol treatment and ethanol precipitation were then conducted as described above to recover the vector DNA. After the vector DNA and the above DNA fragment was

subjected to ligation reaction using a ligation kit, the ligate was introduced into competent cells of *E. coli* strain HB101 (Takara Shuzo Co., Ltd.), and the transformed bacterial cell was cultured on LB medium plate containing 50 µg/ml kanamycin. Plasmid DNAs were prepared from growing clones, cleaved with restriction enzymes *EcoR* I and *Sph* I, and analyzed by agarose gel electrophoresis to select a plasmid (pBI Δ) that contained 186 bp DNA fragment described above. *EcoR* I site is the only one restriction enzyme recognition site in the T-DNA region of this plasmid.

(2) Introduction of *Hind* III and *Xba* I recognition sites to pCR16G6P containing a promoter of the present invention

Oligonucleotides R and S having the following nucleotide sequences that contain restriction enzyme-recognition nucleotide sequences for cloning:  
oligonucleotide R: 5'-GGTCT AGAGA TCTTT AGAAT GTGAT TGCTG-3' (30 mer)  
oligonucleotide S: 5'-AACAA TGTAT GTCCG GTGTA CATCT ATGAC-3' (30 mer)  
were synthesized and used as primers to conduct PCR (40 cycle of incubation at 94°C for 1 min, then at 55°C for 2 min, and further at 74°C for 3 min per cycle) using, as a template, the plasmid having the nucleotide sequence shown in SEQ ID No: 7 that was obtained in Example 1. The amplified DNA fragment was treated with 50 U of *Xba* I to digest it completely, and part of the digest was then fractionated on 4.0% Nusieve Agarose gel (FMC BioProducts). The DNA band of about 250 bp in size was excised, and DNA contained in the section was purified using glass beads (Bio-Rad Laboratories). Two µg of pCR16G6P vector having the nucleotide sequence shown in SEQ ID No: 7 that was obtained in Example 1 was digested with 10 U of *Xba* I, and further treated with alkaline phosphatase to conduct dephosphorylation. Phenol treatment and ethanol precipitation were then conducted as described above to recover the vector DNA. After the vector DNA and the recovered 250 bp DNA fragment was

subjected to ligation reaction using a ligation kit, the ligate was introduced into competent cells of *E. coli* strain HB101 (Takara Shuzo Co., Ltd.), and the transformed bacterial cell was cultured on LB medium containing 50 µg/ml kanamycin. Plasmid DNA was prepared from growing clones, cleaved with restriction enzyme Xba I, and analyzed by agarose gel electrophoresis to select a plasmid that contained 250 bp DNA fragment described above. Furthermore, using the selected plasmid as a template and using the aforementioned oligonucleotides S as a primer:

oligonucleotide S: 5'-AACAA TGTAT GTCCG GTGTA CATCT ATGAC-3' (30 mer)  
the nucleotide sequence carried in the selected plasmid was also analyzed using Taq Dye Deoxy Terminator Cycle Sequencing Kit (PE Biosystems) and a fluorescence sequencer (PE Biosystems). As a result, it was confirmed that this plasmid, pBICR16G6P-2 (Fig.4) contained the promoter of the present invention upstream from  $\beta$ -glucuronidase gene.

### (3) Construction of the vector containing a terminator of the present invention

pCR16G6T-2 containing a terminator nucleotide sequence of the present invention, which is added Sac I and EcoR I recognition sites for gene cloning was constructed using pCR16G6T containing the DNA fragment in SEQ ID No: 2. Fifty ng of pC16 plasmid DNA (Plant Cell Physiology, 38(9):1080-1086 (1997), JP 07-188288) was treated with 50U of Dra I and 50U of Sac I to digest it completely, and part of the digest was then fractionated on 4.0% Nusieve Agarose gel (FMC BioProducts). The DNA band of about 189 bp in size was excised, and DNA contained in the section was purified using glass beads (Bio-Rad Laboratories).

Oligonucleotides T and U having the following nucleotide sequences that contain restriction enzyme-recognition nucleotide sequences for cloning:

oligonucleotide T : 5'-TTCAT AATTT ACAGA GTGAG TGACA GTCAG-3'(30mer)

oligonucleotide U : 5'-GGGAA TTCCT GAAAA GGAAG TTCAT CGATC TATC-

3'(34mer)

were synthesized and used as primers to conduct PCR (40 cycle of incubation at 94°C for 1 min, then at 55°C for 2 min, and further at 74°C for 3 min per cycle) using, as a template, 5ng of pCR16G6T containing the DNA fragment in SEQ ID No: 2. The amplified DNA fragment was treated with 20 U of EcoRI and 20 U of Dra I to digest it completely, and part of the digest was then fractionated on 4.0% Nusieve Agarose gel (FMC BioProducts). The DNA band of 800 bp in size was excised, and DNA contained in the section was purified using glass beads (Bio-Rad Laboratories). Two µg of vector pUC18 (Takara Shuzo Co., Ltd.) was digested with 20 U of EcoRI and 20 U of Dra I. Phenol treatment and ethanol precipitation were then conducted as described above to recover the vector DNA. After the vector DNA and the above two DNA fragments were subjected to ligation reaction using a ligation kit, the ligate was introduced into competent cells of E. coli strain JM109 (Takara Shuzo Co., Ltd.), and the transformed bacteria was cultured on LB medium containing 100 µg/ml of ampicillin and 40 µg/ml of X-Gal. Vector DNAs were prepared from white colony and cleaved with restriction enzymes EcoR I and Sac I, and analyzed by agarose gel electrophoresis to select a vector (pCR16G6T-2) containing 989 bp DNA fragment.

(4) Construction of Ti plasmid vector containing a promoter and a terminator of the present invention

Two µg of pCR16G6P-2 described in this Example 6 (2) was treated with 20 U of EcoRI and 20 U of Sal I to digest it completely, and part of the digest was then fractionated on 0.8% Agarose gel (Dojindo Laboratories). The DNA band of 2kb in size was excised, and DNA fragment G contained in the section was purified using glass beads (Bio-Rad Laboratories). Similarly, Two µg of pCR16G6T-2 described in this Example 6 (3) was digested completely with 20 U of EcoRI and 20 U of Sal I and part

of the digest was then fractionated on 4% Nusieve Agarose gel (FMC BioProducts). The DNA band of 1kb in size was excised, and DNA fragment H contained in the section was purified using glass beads (Bio-Rad Laboratories). Also pBluescript KS vector (Clontech Laboratories, Inc.) was treated with EcoR I to digest it completely and further treated with alkaline phosphatase to conduct dephosphorylation. Phenol treatment and ethanol precipitation were then conducted as described above to recover the vector DNA. The vector DNA and the above two DNA fragments, H and G were subjected to ligation reaction using a ligation kit, the ligate was introduced into competent cells of E. coli strain JM109 (Takara Shuzo Co., Ltd.), and the transformed bacteria was cultured on LB medium containing 100 µg/ml of ampicillin and 40 µg/ml of X-Gal. Vector DNAs were prepared from white colonies and cleaved with restriction enzyme EcoR I, and analyzed by 0.8% agarose gel electrophoresis to select a vector containing 3 kb DNA fragment. This vector was then treated with 20U of EcoR I to digest it completely. On the other hand, pBI Δ described in (1) was treated with 20U of EcoR I to digest it completely and further treated with alkaline phosphatase to conduct dephosphorylation (Takara Shuzo Co., Ltd.). After the vector DNA and 3kb DNA fragments were subjected to ligation reaction using a ligation kit, the ligate was introduced into competent cells of E. coli strain HB101 (Takara Shuzo Co., Ltd.), and the transformed bacterial cell was cultured on LB medium containing 50 µg/ml of kanamycin. Vector DNAs were prepared from growing clones and cleaved with restriction enzymes EcoR I or EcoR I and Sal I, and analyzed by 0.8% agarose gel electrophoresis to select a vector (pBICR16G6PT) containing 3 kb DNA fragment or about 2 kb and 1 kb DNA fragment respectively.

(5) Deletion of Hind III recognition site from pBICR16G6PT

One µg of pBICR16G6PT was treated with Hind III to digest it completely and

recovered DNA by the ethanol precipitation. Then, this DNA fragment was treated with 5U of T4 DNA polymerase (Takara Shuzo Co., Ltd..) to make blunt ends and phenol treatment and ethanol precipitation were then conducted as described above to recover the DNA fragment. This DNA fragment was subjected to ligation reaction using a ligation kit, the ligate was introduced into competent cells of *E. coli* strain HB101 (Takara Shuzo Co., Ltd.), and the transformed bacteria was cultured on LB medium containing 50 µg/ml of kanamycin. Vector DNAs were prepared from growing clones and cleaved with restriction enzyme Hind III, and analyzed by 0.8% agarose gel electrophoresis to select a vector (pBICR16G6PT Δ) that lost the Hind III recognition site.

#### Example 7: Confirmation of expression of transgene

##### (1) Introduction of GUS gene to pBICR16G6PT Δ

Two µg of pBI221 plasmid DNA (Clontech Laboratories, Inc.) was treated with 20U of Sma I and 20U of Sac I to digest it completely and DNA was recovered by the ethanol precipitation. Then, this DNA fragment was treated with 5U of T4 DNA polymerase (Takara Shuzo Co., Ltd..) to make blunt ends and phenol treatment and ethanol precipitation were then conducted as described above to recover the DNA fragment. DNA fragment was then fractionated on 1.0% Agarose gel (Dojindo Laboratories). The DNA band of about 1.7kb in size was excised, and GUS gene contained in the section was purified using glass beads (Bio-Rad Laboratories). Also two µg of pBluescript KS vector (Clontech Laboratories, Inc.) was treated with 20U of Sma I to digest it completely and further treated with alkaline phosphatase to conduct dephosphorylation. Phenol treatment and ethanol precipitation were then conducted as described above to recover the vector DNA fragment. Fifty µg of vector DNA and 100

ng of above 1.7 kb GUS gene fragment was subjected to ligation reaction using a ligation kit, the ligate was introduced into competent cells of *E. coli* strain JM109 (Takara Shuzo Co., Ltd.), and the transformed bacterial cell was cultured on LB medium containing 100 µg/ml of ampicillin and 40 µg/ml of X-Gal.

Oligonucleotides M and K having the following nucleotide sequences that contain nucleotide sequence based on pBluescript KS (oligonucleotide K) and GUS gene (oligonucleotide M):

oligonucleotide M : 5'-GTAAA ACGAC GGCCA GT-3' (17mer)

oligonucleotide K : 5'-ACATG TGGAG TGAAG AGTAT-3' (21mer)

were synthesized and used as primers to conduct PCR (40 cycle of incubation at 94°C for 1 min, then at 55°C for 2 min, and further at 74°C for 3 min per cycle) with white colony suspension of above transformants. The amplified DNA fragment was then fractionated on 4.0% Nusieve Agarose gel (FMC BioProducts). The clone showed the 410 bp DNA fragment amplification was selected for further construction. Two µg of purified plasmid DNA was treated with 20U of Sal I and 20U of BamH I to digest it completely and DNA fragment was then fractionated on 0.8% Agarose gel (Dojindo Laboratories). The DNA band of about 1.7kb in size was excised, and DNA fragment contained in the section was purified using glass beads (Bio-Rad Laboratories). Two µg of pBICR16G6PT Δ was digested with 20U of Sal I and 20U of BamH I and further treated with alkaline phosphatase to conduct dephosphorylation (Takara Shuzo Co., Ltd.). Fifty ng of vector DNA and 20 ng of above 1.7 kb DNA fragment were subjected to ligation reaction using a ligation kit, the ligate was introduced into competent cells of *E. coli* strain HB101 (Takara Shuzo Co., Ltd.), and the transformed bacteria was cultured on LB medium containing 50 µg/ml of kanamycin.

Oligonucleotides U and K having the following nucleotide sequences as

described:

oligonucleotide U : 5'-GGGAA TTCCT GAAAA GGAAG TTCAT CGATC TATC-3'(34mer)

oligonucleotide K : 5'-ACATG TGGAG TGAAG AGTAT-3'(21mer)

were synthesized and used as primers to conduct PCR (40 cycle of incubation at 94°C for 1 min, then at 55°C for 2 min, and further at 74°C for 3 min per cycle) with white colony suspension of above transformants. The amplified DNA fragment was then fractionated on 0.8% Agarose gel (Dojindo Laboratories). The clone showed about 1.1 kb DNA fragment amplification was selected for further experiment (pBICR16G6PT  $\Delta$  G). The bacterial clone harboring pBICR16G6PT  $\Delta$  G vector was cultured over night at 37°C with 2ml of LB medium including 50  $\mu$  g/ml of kanamycin. Then, pre-culture was inoculated to the 500 ml of LB medium including 50  $\mu$  g/ml of kanamycin and cultured over night at 37°C. The bacterial cell was collected by centrifugation at 8000 rpm for 10 minutes and the vector DNA was purified using QIAGEN plasmid purification kit (QIAGEN, Inc. ) .

(2) Confirmation of GUS gene expression by the gene bombardment

pBICR16G6PT  $\Delta$  G was introduced to the soybean somatic embryo using the method by Morikawa et. al. (C.M.Particle Gun System, Rehbock Co., Tokyo, Japan) as described Example 5. For the control experiment, pBI221 (Clontech Laboratories, Inc.) was introduced in the same way. Bombarded soybean somatic embryo were left at 25°C for 24hr and soaked with GUS staining buffer at 37°C for over night. Strong GUS staining pattern were observed in the soybean somatic embryo which was bombarded with pBICR16G6PT  $\Delta$  G.

Example 8: Introduction of mutation site to a promoter of the present invention

(1) Introduction of mutation site to the promoter



(1-1) Deletion of Sac I recognition site in a promoter of the present invention

Two  $\mu$ g of pBICR16G6P is treated with 20U of Sac I to digest it completely. Then, this DNA fragment is further treated with 5U of T4 DNA polymerase (Takara Shuzo Co., Ltd..) to make blunt ends and phenol treatment and ethanol precipitation are then conducted as described above to recover the DNA fragment. This DNA fragment can be then fractionated on 1.0% Agarose gel (Dojindo Laboratories) and the DNA bands of about (a)3.9kb and (b)880bp in size are excised, and the DNA fragments, (a) and (b) in the sections are purified using glass beads (Bio-Rad Laboratories). Fifty ng of DNA fragment (a) and 100 ng of DNA fragment (b) are subjected to ligation reaction using a ligation kit, the ligate is introduced into competent cells of E. coli strain JM109 (Takara Shuzo Co., Ltd..), and the transformed bacterial cell is cultured on LB medium containing 100  $\mu$ g/ml of ampicillin for over night.

Oligonucleotides M and G having the following nucleotide sequences that contain nucleotide sequence as described above:

oligonucleotide M : 5'-GTAAA ACGAC GGCCA GT-3'(17mer)

oligonucleotide G : 5'-GGAAG CTTCA TGTGT GCCCT ACAGC ACA-3'(28mer)

are synthesized and used as primers to conduct PCR (40 cycle of incubation at 94°C for 1 min, then at 55°C for 2 min, and further at 74°C for 3 min per cycle) with grown colony suspension of above transformants. The amplified DNA fragment is then fractionated on 0.8% Agarose gel (Dojindo Laboratories). The clone shows about 2 kb DNA fragment amplification is selected and the plasmid DNA is purified using Qia-prep spin kit (QIAGEN, Inc.). The purified plasmid DNAs are cleaved with Sac I and analyze by 1.0% agarose gel electrophoresis to select a plasmid that loses the Sac I recognition site. The gene nucleotide sequence shown in SEQ ID No.3 is a example of the plasmid that loses the Sac I recognition site.

(1-2) Deletion of Sph I recognition site in a promoter of the present invention

As described in section (1-1), Sph I recognition site in the DNA fragment shown in SEQ ID No.7 can be deleted. Two  $\mu$ g of pBICR16G6P is treated with 20U of Sph I to digest it completely. Then, this DNA fragment is further treated with 5U of T4 DNA polymerase (Takara Shuzo Co., Ltd..) to make blunt ends and phenol treatment and ethanol precipitation are then conducted as described above to recover the DNA fragment. This DNA fragment can be then fractionated on 0.8% Agarose gel (Dojindo Laboratories) and the DNA bands of about (c)3.0kb and (d)1.6kb in size are excised, and the DNA fragments, (c) and (d) in the sections are purified using glass beads (Bio-Rad Laboratories). Fifty ng of DNA fragment (c) and 100 ng of DNA fragment (d) are subjected to ligation reaction using a ligation kit, the ligate is introduced into competent cells of E. coli strain JM109 (Takara Shuzo Co., Ltd.), and the transformed bacterial cell is cultured on LB medium containing 100  $\mu$ g/ml of ampicillin for over night.

Oligonucleotides M and N having the following nucleotide sequences that contain nucleotide sequence as described above:

oligonucleotide M : 5'-GTAAA ACGAC GGCCA GT-3'(17mer)

oligonucleotide N : 5'-AGGAC GACTT AGGTG AATAC-3'(20mer)

are synthesized and used as primers to conduct PCR (40 cycle of incubation at 94°C for 1 min, then at 55°C for 2 min, and further at 74°C for 3 min per cycle) with grown colony suspension of above transformants. The amplified DNA fragment is then fractionated on 0.8% Agarose gel (Dojindo Laboratories). The clone shows about 1.6 kb DNA fragment amplification is selected and the plasmid DNA is purified using Qia-prep spin kit (QIAGEN, Inc.). The purified plasmid DNAs are cleaved with Sph I and analyzed by 1.0% agarose gel electrophoresis to select a plasmid that loses the Sph I recognition site. The gene nucleotide sequence shown in SEQ ID No.4 is a example of

the plasmid nucleotide sequence that lost the Sph I recognition site. Also the promoter which loses the Sph I recognition site can be used to further delete the Sac I recognition site as described in section (1-1):

(1-3) Deletion of Xba I recognition site in promoter of the present invention

As described in section (1-1) and (1-2), Xba I recognition site in the DNA fragment shown in SEQ ID No.7 can be deleted. Two  $\mu$ g of pBICR16G6P is treated with 20U of Xba I to digest it completely. Then, this DNA fragment is further treated with 5U of T4 DNA polymerase (Takara Shuzo Co., Ltd.) to make blunt ends and phenol treatment and ethanol precipitation are then conducted as described above to recover the DNA fragment. This DNA fragment can be then fractionated on 0.8% Agarose gel (Dojindo Laboratories) for the DNA bands of about (e)7.0kb in size and on 4.0% Nusieve Agarose (FMC BioProducts) for the DNA band of (f) 250 bp in size. The DNA fragments in the section are purified using glass beads (Bio-Rad Laboratories). Fifty ng of DNA fragment (e) and 20 ng of DNA fragment (f) are subjected to ligation reaction using a ligation kit, the ligate is introduced into competent cells of E. coli strain JM109 (Takara Shuzo Co., Ltd.), and the transformed bacterial cell is cultured on LB medium containing 100  $\mu$ g/ml of ampicillin for over night.

Oligonucleotides M and N having the following nucleotide sequences that contain nucleotide sequence as described above:

oligonucleotide M : 5'-GTAAA ACGAC GGCCA GT-3'(17mer)

oligonucleotide O : 5'-ATACA TCTTT TCAAA TTTCA-3'(20mer)

are synthesized and used as primers to conduct PCR (40 cycle of incubation at 94°C for 1 min, then at 55°C for 2 min, and further at 74°C for 3 min per cycle) with grown colony suspension of above transformants. The amplified DNA fragment is then fractionated on 4.0% Nusieve Agarose gel (FMC BioProducts). The clone shows

about 250 bp DNA fragment amplification is selected and the plasmid DNA is purified using Qia-prep spin kit (QIAGEN, Inc.). The purified plasmid DNAs are cleaved with Xba I and analyzed by 1.0% agarose gel electrophoresis to select a plasmid that loses the Xba I recognition site. The gene nucleotide sequence shown in SEQ ID No.5 is an example of the plasmid nucleotide sequence that loses the Xba I recognition site. Also the promoter which loses the Xba I recognition site can be used to further deletion of the Sac I recognition site or Sph I recognition site as described in section (1-1) or (1-2), respectively.

(2) Construction of a plant expression vector containing a mutated promoter of the present invention

The expression vector can be constructed by the method described in Example 2. Oligonucleotides G and H having the following nucleotide sequences that contain restriction enzyme-recognition nucleotide sequences for cloning:

oligonucleotide G: 5'-GGAAG CTTCA TGTGT GCCCT ACAGC ACA-3' (28 mer)

oligonucleotide H: 5'-GGTCT AGAGA TCTTT AGAAT GTGAT TGCTG-3' (30 mer),

are synthesized and used as primers to conduct PCR (40 cycle of incubation at 94°C for 1 min, then at 55°C for 2 min, and further at 74°C for 3 min per cycle) using, as a template, the plasmid having the nucleotide sequence shown in SEQ ID No: 3,4 or 5.

The amplified DNA fragment is treated with 10 U of Hind III and 10 U of Xba I to digest it completely, and part of the digest is then fractionated on 0.8% agarose gel.

The DNA band of about 2.0 kb in size or the DNA bands of about 1.7 kb and 250 bp are excised respectively, and DNA fragments contained in the sections are purified

using glass beads (Bio-Rad Laboratories). Two µg of vector pIG121HM (see Fig. 3), which is derived from pBIN19 described in, e.g., Ohta, S. et al., Plant Cell Physiol.

31(6):805-813 (1990) and Hiei, Y. et al., Plant J. 6:271-282 (1994), is digested with 10

U of Hind III and 10 U of Xba I, and further treated with alkaline phosphatase to conduct dephosphorylation. Phenol treatment and ethanol precipitation are then conducted as described above to recover the vector DNA. After the vector DNA and the above two DNA fragments (about 2.0kb, 1.7 kb and about 250 bp) are subjected to ligation reaction using a ligation kit, the ligate is introduced into competent cells of *E. coli* strain HB101 (Takara Shuzo Co., Ltd.), and the bacterial strain which has gone through the introduction steps is cultured on LB medium containing 50 µg/ml kanamycin. Vector DNAs are then prepared from growing clones, cleaved with restriction enzymes Hind III and Xba I, and analyzed by agarose gel electrophoresis to select a vector that contained 2.0 kb or 1.7 kb and 250 bp DNA fragments described above. Furthermore, using the selected vector as a template, PCR (40 cycles of incubation at 94°C for 1 min, then at 55°C for 2 min, and further at 74°C for 3 min per cycle) is conducted using the aforementioned oligonucleotides G and H as primers: oligonucleotide G: 5'-GGAAG CTTCA TGTGT GCCCT ACAGC ACA-3' (28 mer) oligonucleotide H: 5'-GGTCT AGAGA TCTTT AGAAT GTGAT TGCTG-3' (30 mer): As a result, it is confirmed that a DNA fragment about 2 kb is amplified, and Ti plasmid expression vectors containing a promoter having the nucleotide sequence shown in SEQ ID 3, 4 or 5 of the present invention upstream from  $\beta$ -glucuronidase gene are thus obtained. Furthermore, NOS terminator contained in the above mentioned plasmids can be exchanged with the terminator derived from pBICR16G6PT or pBICR16G6PT  $\Delta$  described in Example 6.

**Example 9 : Construction of vector containing promoter and terminator of the present invention and confirmation of expression of transgene**

(1) Introduction of GUS gene to the expression vector containing the terminator of

present invention

GUS gene can be introduced to the expression vector as described Example 7(1). Two  $\mu\text{g}$  of pBI221 plasmid DNA (Clontech Laboratories, Inc.) is treated with 20U of Sma I and 20U of Sac I to digest it completely and recovered DNA by the ethanol precipitation. Then, this DNA fragment is treated with 5U of T4 DNA polymerase (Takara Shuzo Co., Ltd.) to make blunt ends and phenol treatment and ethanol precipitation are then conducted as described above to recover the DNA fragment. DNA fragment is then fractionated on 1.0% Agarose gel (Dojindo Laboratories). The DNA band of about 1.7kb in size is excised, and GUS gene contained in the section is purified using glass beads (Bio-Rad Laboratories). Also two  $\mu\text{g}$  of pBluescript KS vector (Clontech Laboratories, Inc.) is treated with 20U of Sma I to digest it completely and further treated with alkaline phosphatase to conduct dephosphorylation. Phenol treatment and ethanol precipitation are then conducted as described above to recover the vector DNA fragment. Fifty  $\mu\text{g}$  of vector DNA and 100 ng of above 1.7 kb GUS gene fragment is subjected to ligation reaction using a ligation kit, the ligate is introduced into competent cells of E. coli strain JM109 (Takara Shuzo Co., Ltd.), and the transformed bacterial cell is cultured on LB medium containing 100  $\mu\text{g}/\text{ml}$  of ampicillin and 40  $\mu\text{g}/\text{ml}$  of X-Gal.

Oligonucleotides M and K having the following nucleotide sequences that contain nucleotide sequence based on pBluescript KS (oligonucleotide K) and GUS gene (oligonucleotide M):

oligonucleotide M : 5'-GTAAA ACGAC GGCCA GT-3'(17mer)

oligonucleotide K : 5'-ACATG TGGAG TGAAG AGTAT-3'(21mer)

are synthesized and used as primers to conduct PCR (40 cycle of incubation at 94°C for 1 min, then at 55°C for 2 min, and further at 74°C for 3 min per cycle) with white

colony suspension of above transformants. The amplified DNA fragment is then fractionated on 4.0% Nusieve Agarose gel (FMC BioProducts). The clone shows the 410 bp DNA fragment amplification is selected for further construction. Two  $\mu$ g of purified plasmid DNA is treated with 20U of Sal I and 20U of BamH I to digest it completely and DNA fragment is then fractionated on 0.8% Agarose gel (Dojindo Laboratories). The DNA band of about 1.7kb in size is excised, and DNA fragment contained in the section is purified using glass beads (Bio-Rad Laboratories). Two  $\mu$ g of pBICR16G6PT is digested with 20U of Sal I and 20U of BamH I and further treated with alkaline phosphatase to conduct dephosphorylation (Takara Shuzo Co., Ltd.). Fifty ng of vector DNA and 20 ng of above 1.7 kb DNA fragment are subjected to ligation reaction using a ligation kit, the ligate is introduced into competent cells of E. coli strain HB101 (Takara Shuzo Co., Ltd.), and the transformed bacterial cell is cultured on LB medium containing 50  $\mu$ g/ml of kanamycin.

Oligonucleotides U and K having the following nucleotide sequences as described:

oligonucleotide U : 5'-GGGAA TTCCT GAAAA GGAAG TTCAT CGATC TATC-3'(34mer)

oligonucleotide K : 5'-ACATG TGGAG TGAAG AGTAT-3'(21mer)

are synthesized and used as primers to conduct PCR (40 cycle of incubation at 94°C for 1 min, then at 55°C for 2 min, and further at 74°C for 3 min per cycle) with white colony suspension of above transformants. The amplified DNA fragment is then fractionated on 0.8% Agarose gel (Dojindo Laboratories). The clone shows about 1.1 kb DNA fragment amplification is selected for further experiment (pBICR16G6PTG). The bacterial clone harboring pBICR16G6PTG is pre-cultured over night at 37°C with 2ml of LB medium including 50  $\mu$ g/ml of kanamycin. Then, pre-culture is inoculated to the 500 ml of LB medium including 50  $\mu$ g/ml of kanamycin and cultured over night

37°C. The bacterial cell is collected by centrifugation at 8000 rpm for 10 minutes and the plasmid DNA is purified using QIAGEN plasmid purification kit (QIAGEN, Inc. ). The promoter of the present invention in SEQ ID No.7 contained in pBICR16G6PTG or pBICR16G6PT  $\Delta$  G can be exchanged with the promoters described in Example 8, cauliflower mosaic virus 35S promoter (Nature, 313:810-812) or NOS promoter (Nucleic Acid Research, 11(2):369-385 (1983)).

(2) Confirmation of GUS gene expression using transgenic Tobacco

As described in Example 2 (2), a section of sterile-cultured tobacco leaf (0.7-cm square) is immersed for 2 minutes in Agrobacterium culture containing expression vector described in Example 8 and 9 cultured overnight at 30°C in YEB liquid medium containing 50  $\mu$ g/ml kanamycin, and after removing excess liquid with sterile filter paper, placed on MS-NB medium. After cocultivation for 5 days at 25°C under the conditions of 16 hours light and 8 hours dark, the section is washed with MS liquid medium, and placed on MS-NBC medium. After culturing for additional 5 days, the section is transferred onto MS-NBCK medium containing a selection drug, and stationally culture for about one month. The regenerated shoot is cut from the leaf section, and subcultured on MS-CK medium. After about one month, the individuals that rooted are planted on soil to obtain self-pollinated seeds. GUS gene can be confirmed in above transgenic Tobacco genome as described in Example 3. Also GUS expression activity based on a terminator of the present invention can be confirmed by the method described in Example 4.

(3) Confirmation of GUS gene expression using the gene bombardment

The vector DNAs can be introduced to the soybean somatic embryo using the method by Morikawa et. al. (C.M.Particle Gun System, Rehbock Co., Tokyo, Japan) as described Example 5. For the control experiment, pBI221 (Clontech Laboratories, Inc.) can be introduced in the same way. Bombarded soybean somatic embryo are left at 25°C



for 24hr and soaked with GUS staining buffer at 37°C for over night. Strong GUS staining pattern are observed in the soybean somatic embryo which is bombarded by above mentioned vectors.

The compositions of mediums used in Examples were as follows:

(1) Media for plant

(i) MS agar medium (for tobacco)

4.4 g MURASHIGE AND SKOOG BASAL MEDIUM (Sigma Chemical Co.) and 30 g sucrose were dissolved in 1 L distilled water, adjusted to pH 5.8 with 1 N KOH, supplemented with 8 g agar (Wako Pure Chemical Industries, Ltd.), and then autoclaved.

(ii) MS-NB agar medium

MS agar medium supplemented with 0.1 µg/ml 1-naphthaleneacetic acid (NAA), 1.0 µg/ml 6-benzylaminopurine (BA)

(iii) MS-NBC agar medium

MS-NB agar medium supplemented with 300 µg/ml Cefotaxime

(iv) MS-NBCK agar medium

MS-NB agar medium supplemented with 100 µg/ml kanamycin and 300 µg/ml Cefotaxime

(v) MS-CK agar medium

MS agar medium supplemented with 100 µg/ml kanamycin and 300 µg/ml of Cefotaxime

(vi) MS agar medium (for Arabidopsis)

4.4 g MURASHIGE AND SKOOG (Sigma Chemical Co.) and 20 g sucrose were dissolved in 1 L distilled water, adjusted to pH 6.3 with 1 N KOH, supplemented with 2g Gellan gun (Wako Pure Chemical Industries, Ltd.), and then autoclaved.

(vii) CIM agar medium

MS agar medium supplemented with 0.5 µg/ml 2,4-dichlorophenoxyacetic acid (2,4-D), 0.05 µg/ml kinetin

(viii) SIMC agar medium

MS agar medium supplemented with 5 µg/ml N<sub>6</sub>-[2-isopentenyl]adenin (2-iP), 0.15 µg/ml indoleacetic acid (IAA), 300 µg/ml Cefotaxime

(ix) SIMCH agar medium

SIMC agar medium supplemented with 20 µg/ml hygromycin

(2) Media for bacteria and phages

(i) L-medium

10 g Bacto-trypton (Difco Laboratories), 5 g Bacto-yeast extract (Difco Laboratories) and 10 g NaCl are dissolved in 1 L distilled water, adjusted to pH 7.0 with 5 N NaOH, and autoclaved. When used for plates, 15 g agar is added thereto before autoclaving.

(ii) YEB medium

5 g Bacto-beef extract (Difco Laboratories), 1 g Bacto-yeast extract (Difco Laboratories), 5 g polypeptone, 5 g sucrose, 0.2 ml 10 N NaOH are dissolved in 1 L distilled water, autoclaved, and then supplemented with 0.2 ml filter-sterilized 1M MgSO<sub>4</sub> before use. For preparing the agar medium, 15 g agar is added thereto before autoclaving.

## EFFECTS OF THE INVENTION

According to the present invention, promoters and terminators capable of efficiently expressing a gene of interest in plants are provided.

## CLAIMS

1. A promoter comprising the following DNA (a) or (b), characterized in that it is capable of functioning in plant cells:

(a) DNA comprising the nucleotide sequence shown in SEQ ID No: 1 or SEQ ID No: 7, or

(b) DNA comprising a nucleotide sequence in which one or more bases are deleted, substituted, or added in the nucleotide sequence shown in SEQ ID No: 1 or SEQ ID No: 7, and which has more than 90% identity to the nucleotide sequence of any region consisting of 250 bp or more within the nucleotide sequence shown in SEQ ID No: 1 or SEQ ID No: 7, wherein said DNA has biological functions equivalent to those of the above DNA (a).

2. A terminator comprising the following DNA (a) or (b), characterized in that it is capable of functioning in plant cells:

(a) DNA comprising the nucleotide sequence shown in SEQ ID No: 2, or

(b) DNA comprising a nucleotide sequence in which one or more bases are deleted, substituted, or added in the nucleotide sequence shown in SEQ ID No: 2 and which has more than 90% identity to the nucleotide sequence of any region consisting of 250 bp or more within the nucleotide sequence shown in SEQ ID No: 2, wherein said DNA has biological functions equivalent to those of the above DNA (a).

3. A chimeric gene characterized in that it comprises a promoter of claim 1 and a desired gene linked to each other in the form capable of functioning.

4. A chimeric gene characterized in that it comprises a promoter of claim 1, a desired gene, and a terminator of claim 2 linked to each other in the form capable of functioning.

5. A vector characterized in that it contains a promoter of claim 1.

6. A vector characterized in that it contains a promoter of claim 1 and a desired gene.

7. A vector characterized in that it contains a promoter of claim 1, a desired gene, and a terminator of claim 2.

8. A method of producing a transformant, characterized in that it comprises a step

in which any one of a promoter of claim 1, a chimeric gene of claim 3 or 4, and a vector of claim 5, 6 or 7 is introduced into a host cell.

9. A transformant characterized in that it carries any one of a promoter of claim 1, a chimeric gene of claim 3 or 4, and a vector of claim 5, 6 or 7, introduced into the host cell.

10. A transformant of claim 9 characterized in that the host cell is a microbial cell or a plant cell.

11. A method of expressing a gene, characterized in that it comprises a step in which a promoter of claim 1 and a desired gene located downstream from said promoter are placed in a host cell, and a step in which the desired gene is expressed in the host cell under the control of said promoter.

12. A method of expressing a gene, characterized in that it comprises a step in which a terminator of claim 2 and a desired gene located upstream from said terminator are placed in a host cell, and a step in which the desired gene is expressed in the host cell under the control of said terminator.

## DRAWINGS

Fig. 1

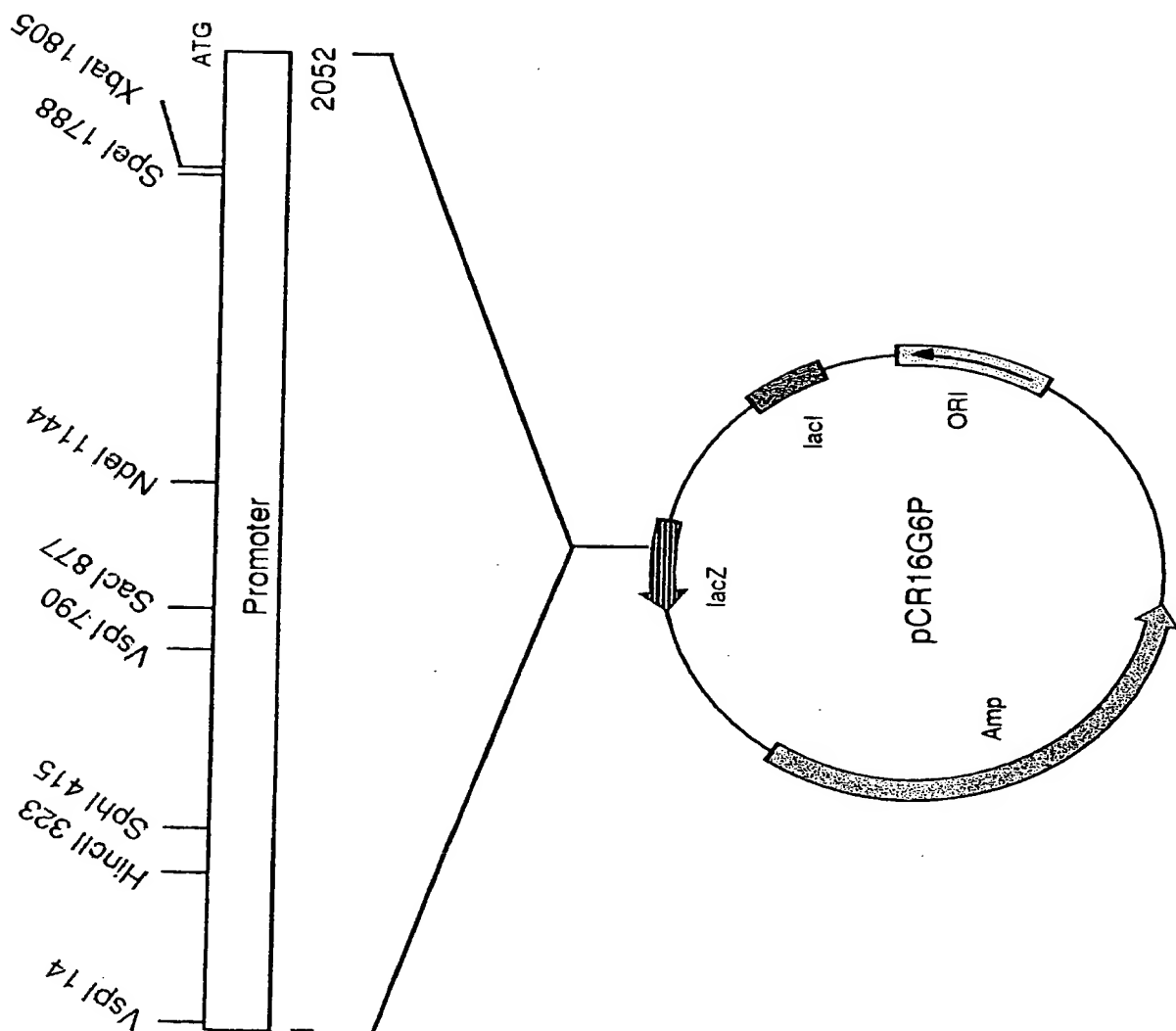
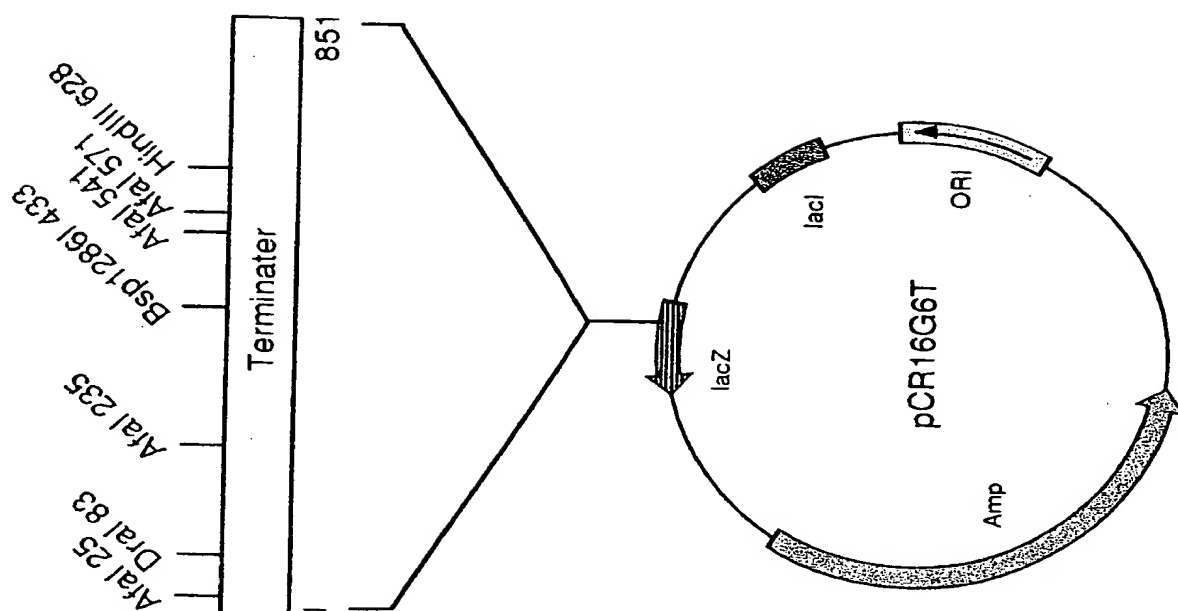


Fig. 2



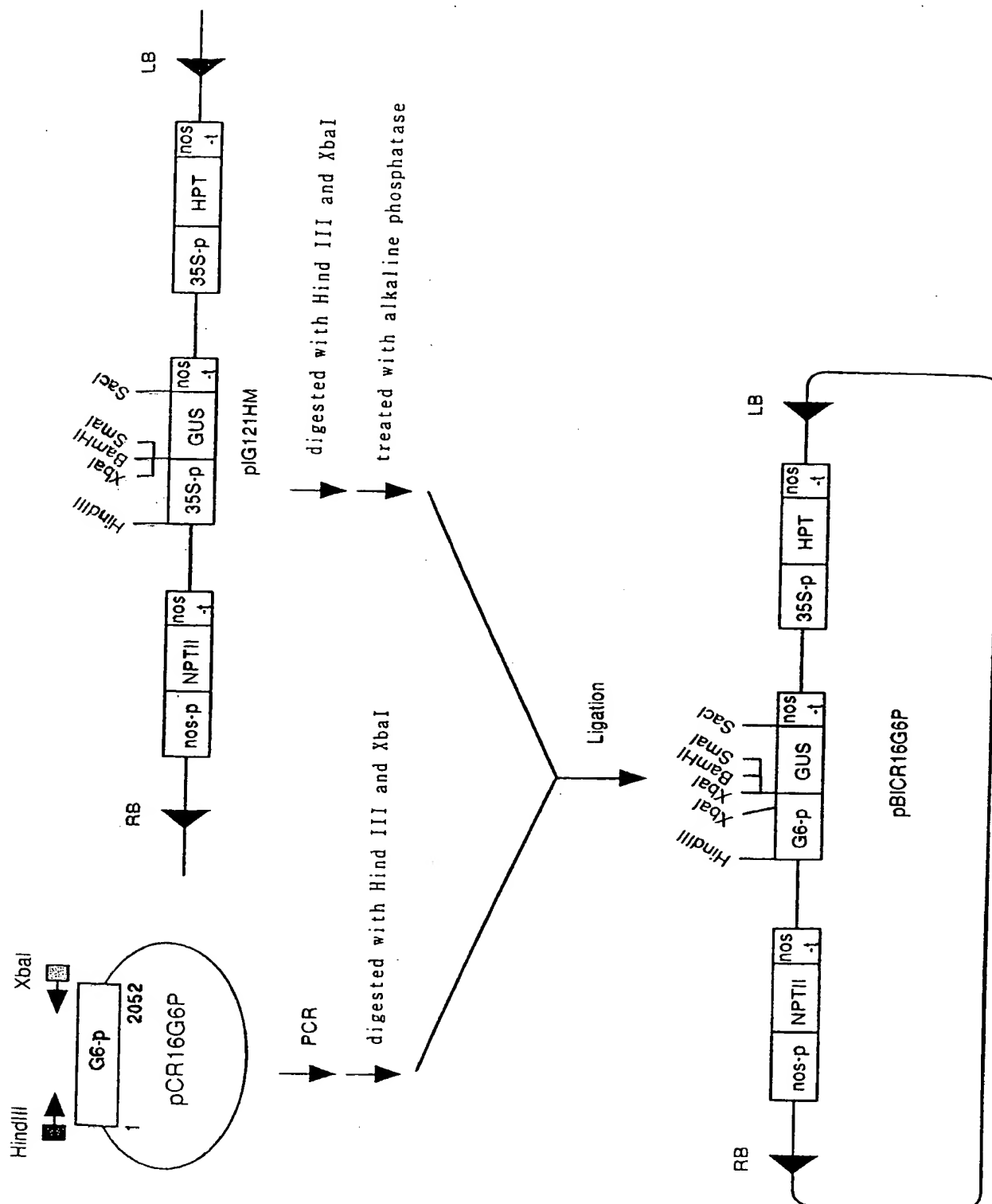
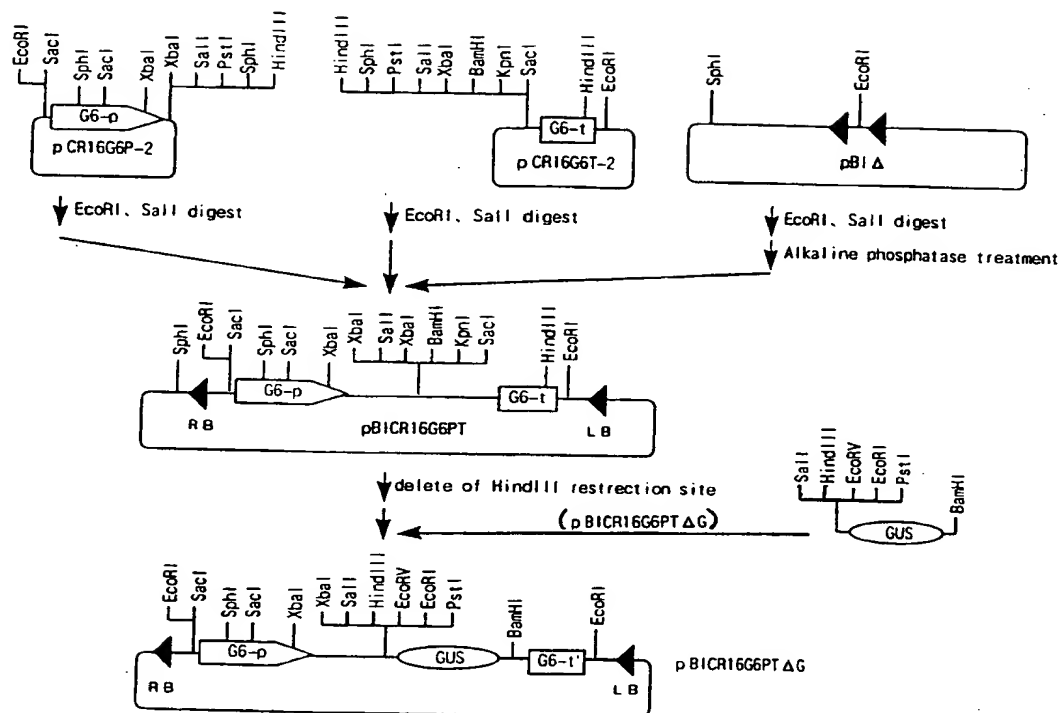


Fig. 4





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<302>A Major Root Protein of Carrots with High Homology to Intercellular  
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5

10

tca gtc tcc gca gag aaa ata ttc agc ggc att gtc ctt gat gtt gat 97



ser val ser ala glu lys ile phe ser gly ile val leu asp val asp

15

20

25

aca gtt att ccc aag gct gcc ccc gga gct tac aag agt gtc gat gtt

145

thr val ile pro lys ala ala pro gly ala tyr lys ser val asp val

30

35

40

aaa gga gac ggt gga gct gga acc gtc aga att atc acc ctt ccc gaa

193

lys gly asp gly gly ala gly thr val arg ile ile thr leu pro glu

45

50

55

60

ggt agc cca atc acc tca atg acg gtt agg act gat gca gtg aac aag

241

gly ser pro ile thr ser met thr val arg thr asp ala val asn lys

65

70

75

gag gcc ttg aca tac gat tcc aca gtc att gat gga gac atc ctt cta

289

glu ala leu thr tyr asp ser thr val ile asp gly asp ile leu leu

80

85

90

gaa ttc atc gaa tcc att gaa acc cat atg gta gtt gtg cca act gct

337

glu phe ile glu ser ile glu thr his met val val val pro thr ala

95

100

105

gac gga ggt agc att acc aag acc act gcc ata ttc cac acc aaa ggc

385

asp gly gly ser ile thr lys thr thr ala ile phe his thr lys gly

110

115

120

gat gcc gtg gtt cct gag gag aac atc aag ttt gca gat gct cag aac

433

asp ala val val pro glu glu asn ile lys phe ala asp ala gln asn

125

130

135

140

act gct ctt ttc aag gct att gag gcc tac ctc att gct aat taa gctga 483  
thr ala leu phe lys ala ile glu ala tyr leu ile ala asn stop

145

150

155

gctctcaact tccgtaattt tatgagttagaggagaatt gcaacgtttt cttttgtgtt 543  
ttgttttcga gcaacttcat aatttacaga gtgagttagaca gtcagttagaca gaattgcaac 603  
tttctctttg tactttgttg tgactttaga tgaataactt catctggctg gtaatgtatg 663  
cgatcttttt aaataatatg cactattatt aaaccaataa tcatattcat tctcaaaaaa 723  
aaaaaaaaa aaaaaa 739

&lt;210&gt; 7

&lt;211&gt; 2052

&lt;212&gt; DNA

<213> *Daucus carota* L.

&lt;220&gt;

&lt;221&gt; promoter

&lt;222&gt; (1)... (2052)

&lt;400&gt; 7

catgtgtgcc ctacagcaca tagggcctgt ttggttgaga gaagcagaag ctgcttctga 60  
cttcttcttc ttttgacctg ttgtataaa gaagtagaaa tttttttaa aagctgcgaa 120  
tactaacttc tctctcacia ctccgcttc tttccaaac actttattaa cttttttact 180  
tctcatttct actccacttc ttgctataa gcaagaaatc acttctttta agctaacca 240  
aacggcctca ataaaagatc attcataaat gtatctttca attttaggat aacaatacgt 300  
gaacagggtt attttttaac gtgtcaaaa attctaataa ttttacctgg ccggtgaaca 360  
ccgtcttcca agataatata ttttaatttt gtagcctccc ttttaaccaa attcgcatgc 420  
aggacgactt aggtgaatac acattgtact gtgagtcttt aaacaaagaa caagtgggtc 480  
atgctcagcc atcaaaattg acaaaacccg acacaacact ctatccacgt actatacttt 540  
tggccgaatg cttctcaaaa tgttttttat atgtaaaata atgccccatcc aaggataagt 600

aaaattcccg ttttaaccagt ttgttaatat atatgtttac acttacaaga ggatattcgt 660  
aatactttta gacgacaaga gacttaggtc aaaaatggac gctggtaaag agcctagact 720  
tggtcactga taaatagata attgttagta taatatagta ggatctacaa tgacattaaa 780  
attagagcta ttaattaagt tactaataaa taagagaggt tagtaaagag aaagcaggta 840  
aaaacaagag cttgctgctg tgtgtttagt tgttgtgagc tcattttctt aaaagtaatg 900  
taaactgac taaagcacat agaaatttag tacagggttaa aacttttaca agaatttata 960  
ttaaacgaaa atcattttat aacatgtctc tcggctgtca ttataatagg gatcacttac 1020  
tgatcatcca ttaaaacctt gttaaaacaa attcaatgag ataaaatata ttacaatgaa 1080  
aagaaggaca atgtctcttt gaaaaaacia atagggtact cctccgtccc tctgaaatgt 1140  
atacatatgg attggacacg gagactaaga aaaatgtata aagtaatgta gagtaaaaag 1200  
aaagagaaaag aaaagtgggt aaagtagcgg gacccaccaa tatataattg atagatttag 1260  
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taagattata aatctatgtt ataataataa tataatttta aaaataatac tatattaatt 1500  
ctgattagtc gattaccgcc tttataaatt ttacaatact gagtaatatg aataaatcag 1560  
ttatctgaaa agcaaataat atctttgtaa aacagcggtc ggtcaaatgg gaagtcatg 1620  
tgtattcaat agttttaata taaaagtaaa ttttaaatat attgttattt ttgtttcaga 1680  
aatttaaaat aaattattga gcatgggaag ttacagggca tcattgagca gcactagact 1740  
gtttgaacaa tgtatgtccg gtgtacatct atgacctttc aactcaaact agtgaataat 1800  
gcattctaga atacatcttt tcaaatttca acaaacacag ctttaacttt tctttcaacg 1860  
gattggaatc cttttctaaa ctttttaaaa taaaaaaaat gcattattgt aatatttatc 1920  
aacacctcaa cattgatgtt agcgtactat aaatagggtgc tcttggtgct ctactatcat 1980  
cacatcaatc ttacaccaca aacctgagc ttaatttttc tacttattct cagcaatcac 2040  
attctaaaga tc 2052

# INTERNATIONAL SEARCH REPORT

Interr. Application No

PCT/JP 99/05303

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 C12N15/82 C12N5/10

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 C12N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	EP 0 824 150 A (SUMITOMO CHEMICAL CO) 18 February 1998 (1998-02-18) the whole document	
A	EP 0 659 884 A (SUMITOMO CHEMICAL CO) 28 June 1995 (1995-06-28) see seq.ID.6 example 5	

☐ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

### \* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search

6 March 2000

Date of mailing of the international search report

13/03/2000

Name and mailing address of the ISA

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Authorized officer

Smalt, R

# INTERNATIONAL SEARCH REPORT

Information on patent family members

Intern. Application No

PCT/JP 99/05303

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
EP 0824150 A	18-02-1998	JP 10052273 A	24-02-1998
		CA 2212592 A	12-02-1998
		US 5959176 A	28-09-1999
EP 0659884 A	28-06-1995	JP 7188288 A	25-07-1995
		CA 2138823 A	25-06-1995
		US 5512484 A	30-04-1996

# PATENT COOPERATION TREATY

From the:  
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

To:

VOSSIUS & PARTNER  
Postfach 86 07 67  
D-81634 München  
ALLEMAGNE

**EINGEGANGEN**  
Vossius & Partner

15. Mai 2000

First  
bearb.:

10.8.

10.7.2000

## PCT

### WRITTEN OPINION

(PCT Rule 66)

Applicant's or agent's file reference <b>E 1486 PCT</b>		<b>REPLY DUE</b> <b>within 3 month(s)</b> from the above date of mailing	
International application No. <b>PCT/JP99/05303</b>	International filing date (day/month/year) <b>28/09/1999</b>	Priority date (day/month/year) <b>02/10/1998</b>	
International Patent Classification (IPC) or both national classification and IPC <b>C12N15/82</b>			
Applicant <b>SUMITOMO CHEMICAL COMPANY, LIMITED et al.</b>			

1. This written opinion is the first drawn up by this International Preliminary Examining Authority.
2. This opinion contains indications relating to the following items:
 

I	<input checked="" type="checkbox"/> Basis of the opinion
II	<input type="checkbox"/> Priority
III	<input type="checkbox"/> Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
IV	<input type="checkbox"/> Lack of unity of invention
V	<input checked="" type="checkbox"/> Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
VI	<input type="checkbox"/> Certain document cited
VII	<input type="checkbox"/> Certain defects in the international application
VIII	<input checked="" type="checkbox"/> Certain observations on the international application
3. The applicant is hereby **invited to reply** to this opinion.
 

**When?**      See the time limit indicated above. The applicant may, before the expiration of that time limit, request this Authority to grant an extension, see Rule 66.2(d).

**How?**      By submitting a written reply, accompanied, where appropriate, by amendments, according to Rule 66.3. For the form and the language of the amendments, see Rules 66.8 and 66.9.

**Also:**      For an additional opportunity to submit amendments, see Rule 66.4.  
 For the examiner's obligation to consider amendments and/or arguments, see Rule 66.4 bis.  
 For an informal communication with the examiner, see Rule 66.6.

**If no reply is filed,** the international preliminary examination report will be established on the basis of this opinion.
4. The final date by which the international preliminary examination report must be established according to Rule 69.2 is: **02/02/2001**.

Name and mailing address of the international preliminary examining authority:

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Fax: +49 89 2399 - 4465

Authorized officer / Examiner

**Predazzi, V**

Formalities officer (incl. extension of time limits)  
**Vullo, C**  
Telephone No. +49 89 2399 8061



**I. Basis of the opinion**

1. This opinion has been drawn on the basis of (*substitute sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this opinion as "originally filed".*).

**Description, pages:**

1-60 as originally filed

**Claims, No.:**

1-12 as originally filed

**Drawings, sheets:**

1/4-4/4 as originally filed

2. The amendments have resulted in the cancellation of:

- ☐ the description, pages:  
☐ the claims, Nos.:  
☐ the drawings, sheets:

3. This opinion has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)):

4. Additional observations, if necessary:

**V. Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement****1. Statement**

Novelty (N)	Claims 1, 3-6, 8-11
Inventive step (IS)	Claims
Industrial applicability (IA)	Claims

**2. Citations and explanations**

see separate sheet

**VIII. Certain observations on the international application**

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:

**see separate sheet**



**Re Item I**

**Basis of the opinion**

Application as filed containing SEQ ID No: 1-7

**Re Item V**

**Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**

1. Reference is made to the following document:

D1: EP-A-0 824 150 (SUMITOMO CHEMICAL CO) 18 February 1998 (1998-02-18)

2. Due to the present wording of claim 1(b), the subject-matter of claims 1, 3-6 and 8-11 of the present application is not new in the sense of Article 33 (2) PCT.

D1 discloses the DNA sequence of a plant promoter which comprises a nucleotide sequence (cf. D1 SEQ ID No: 2, from base 1790 to 2042) which has more than 90% identity to the nucleotide sequence of a region consisting of more than 250 bp (from base 1795 to base 2052) within the nucleotide sequence disclosed in SEQ ID No: 1 or SEQ ID No: 7 of the present application.

Hence, claim 1 of the present application is not new under Article 33(2) PCT.

Claims 3-6 and 8-11 are dependent on claim 1 and as such also do not meet the requirements of the PCT with respect to novelty. D1, in fact discloses a plant promoter comprising the above mentioned sequence, a chimeric gene comprising said promoter (cf. D1 claim 8), a vector comprising said promoter (cf. D1 claim 5), a method for producing transformants (plants and microorganisms) with said promoter, gene or the vector mentioned above (cf. D1 page 10, example 6) and a method to express a gene using said promoter (cf. D1 pages 10 and 11, examples 7 and 8) as in claims 3-6 and 8-11 of the present application.

Hence, claims 1, 3-6 and 8-11 of the present application are not new under Article 33 (2) PCT.

3. Taking into account only claim 1(a), the subject-matter of claims 1-12 appears to be novel and inventive in the sense of Article 33 (2) and (3) PCT.

D1, which is considered to represent the closest prior art, discloses a plant promoter (*Daucus carota*) which has sequence homologies with the promoter of the present application and a terminator from the same plant, a vector comprising them, a chimera gene comprising said promoter, a microorganism and a plant cell transformed with said vector and the deriving plant and methods of expressing a gene linked to said promoter. The difference from D1 and the present application is in the promoter and in the terminator.

The problem to be solved, starting from D1, may therefore be regarded as how to provide an alternative promoter and an alternative terminator.

The Applicant solves the problem by isolating, from *Daucus carota*, the promoter and the terminator DNA sequences disclosed in the present application.

Although it is a common practice in plant molecular genetics to construct and use vectors comprising a promoter sequence located upstream the desired gene and a terminator sequence located downstream said gene, and although it is common knowledge that new promoters and new terminators can be found up and downstream wild type genes, it would not be obvious for the person skilled in the art, to isolate and use the promoter and the terminator described in the present application. Therefore, although the techniques used are routine techniques, there appears to be no indication in the prior art that would lead the person skilled in the art to isolate and use exactly the promoter and the terminator disclosed in the present application. In fact, the sequence homology shared by certain regions of the promoter of D1 and the promoter of the present application is not considered a sufficient indication for the isolation of the promoter of the present application.

Hence, the subject-matter of point a) of claim 1 and claims 2-12 appears to be novel and inventive under Article 33 (2) and (3) PCT.

#### **Re Item VIII**

#### **Certain observations on the international application**

1. Claim 8 is not supported by the description as required by Article 6 PCT, as its scope is broader than justified by the description and drawings. The present wording of claim 8, in fact, does not exclude host cells different from plant and microbial cells (i.e. human cells); this is not supported by the description and by the characteristics of the promoter claimed in the present application which is characterised in that it is capable of functioning in plant cells. Hence, the scope of the claim should be restricted to plant and microbial host cells (see claim 10 of the present application).
2. Claims 1 and 2 do not meet the requirements of Article 6 PCT in that the matter for which protection is sought is not clearly defined. The functional statement "wherein said DNA has biological functions equivalent to those of the above DNA" does not enable the skilled person to determine which technical features are necessary to perform the stated function. The present wording of said claims does not imply as a *conditio sine qua non*, that the mentioned DNA sequences must have, respectively, a promoter function for claim 1, and a terminator function for claim 2.

**Concluding remarks:**

The attention of the Applicant is drawn to the fact that the application may not be amended in such way that it contains subject-matter which extends beyond the content of the application as filed, Article 34(2)(b) PCT.

When filing amended claims the applicant should at the same time bring the description into conformity with the amended claims. Care should be taking during revision, especially in the introductory portion and any statements of problem or advantage, not to add subject-matter which extends beyond the content of the application as originally filed (Article 34(2)(b) PCT).

In order to facilitate the examination of the conformity of the amended application with the requirements of Article 34(2)(b) PCT, the Applicant is requested to clearly identify the amendments carried out, irrespective of whether they concern amendments by addition, replacement or deletion, and to indicate the passages of the application as filed on which this amendments are based.

**WRITTEN OPINION  
SEPARATE SHEET**

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International application No. PCT/JP99/05303

If the Applicant regards it as appropriate, these indications could be submitted in handwritten form on a copy of the relevant parts of the application as filed.



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Generaldirektion 2

Directorate General 2

Direction Générale 2

## Correspondence with the EPO on PCT Chapter II demands

In order to ensure that your PCT Chapter II demand is dealt with as promptly as possible you are requested to use the enclosed self-adhesive labels with any correspondence relating to the demand sent to the Munich Office.

One of these labels should be affixed to a prominent place in the upper part of the letter or form etc. which you are filing.

## VOSSIUS &amp; PARTNER

Patentanwälte

Vossius &amp; Partner POB 86 07 67 81634 München Germany

To the  
European Patent Office  
Munich

PATENTANWÄLTE  
EUROPEAN PATENT ATTORNEYS  
EUROPEAN TRADEMARK ATTORNEYS  
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PCT/JP99/05303  
Sumitomo Chemical Company, Limited et al.  
Our Ref.: E 1486 PCT

August 29, 2000  
Ba/AD/ses

This is in response to the Written Opinion dated May 10, 2000. Please find enclosed new claims 1 to 12, in triplicate.

# 1. AMENDMENTS TO THE CLAIMS

1.1 New claim 1 is based on previously filed claim 1, except that the term "biological functions" has been replaced by the term "promoter functions". Support for said amendment is to be found on page 5, lines 19 to 22, of the description.

1.2 New claim 2 is based on previously filed claim 2, except that the term "biological functions" has been replaced by the term "terminator functions".

Support for said amendment is to be found on page 5, lines 19 to 22, of the description.

1.3 New claims 3 to 12 correspond to previously filed claim 3 to 12.

## **2. CLARITY/INSUFFICIENT DISCLOSURE (ARTICLE 6 PCT)**

### **2.1 Claim 8**

In item VIII.1 the Examiner took the position that the description lacked support for the term "host cell" in its broad scope as used herein. Due to said insufficient disclosure, the scope of the claim would be broader than justified by the description and the drawings.

Host cells which are suitable for propagating the vector of the present invention are described on page 22, lines 9 to 13, of the description. This section also relates to host cells which are yeast or animal host cells. Thus, the term "host cell" as used herein is sufficiently supported by the description in that said term also comprises host cells, e.g., animal or yeast cells, which are different from plant and microbial cells.

### **2.2 Previous and new claims 1 and 2**

In section VIII.2 the Examiner took the position that the subject matter for which protection is sought in claims 1 and 2 would not be clearly defined by the functional term "wherein said DNA has biological functions equivalent to those of the above DNA".

The objections raised by the Examiner do not apply to new claims 1 and 2.

### 3. NOVELTY (ARTICLE 33(2) PCT)

#### 3.1 Previous and new claim 1(b)

In item V.2 the Examiner took the position that a plant promoter which comprises a nucleic acid sequence which has more than 90% identity in a region consisting of 250 bp or more to the DNA of claim 1(b) would be disclosed as SEQ ID NO:2 in (D1). Therefore, the subject matter of claim 1(b) lacked novelty.

However, as shown in Annex A, a comparison of the SEQ ID NO:1 or SEQ ID NO:7 with nucleotides 1790 to 2042 of SEQ ID NO:2 as disclosed in (D1) revealed 24 nucleotide substitutions and 2 nucleotide deletions between said sequences. Thus, 26 nucleotide positions differ between the sequences of the present invention and the sequence disclosed in (D1) resulting in more than 10% mismatches between those sequences. Previous and new claim 1(b) relate to a DNA which has more than 90% identity to the nucleotide sequence of any region consisting of 250bp or more within the nucleotide sequence shown in SEQ ID NO:1 or SEQ ID NO:7. Thus, claim 1(b) is novel over the disclosure content of (D1).

#### 3.2 Claims 3 to 6 and 8 to 11

In item V.2 the Examiner took the position that claims 3 to 6 and 8 to 11 lacked novelty because they are dependent on claim 1(b). Since claim 1(b) would comprise a DNA having the nucleic acid sequence of SEQ ID NO:2 of (D1), the disclosures of (D1) regarding to a chimeric gene, a vector, a transformant and a method to express a gene using a promoter would also be novelty-destroying for the corresponding claims of the present application.

The objections raised by the Examiner are unjustified since, as discussed above, claim 1(b) is novel.



#### 4. REQUESTS

With the above explanations and the proposed amendments, we feel that the applicant has met the requirements as set out in the Official Communication.

If, however, the Examining Division does not agree to the above, it is requested that either a further Communication pursuant to Article 96(2) and Rule 51(2) EPC or a summons to attend oral proceedings according to Article 116(1) EPC be issued. If deemed expedient, an informal interview is requested. The undersigned is prepared to discuss minor amendments over the phone.



Dr. Renate Barth  
European Patent Attorney

**Enc.:**

New claims 1 to 12, in triplicate  
Annex A, in triplicate

PCT Patent Application  
No. PCT/JP99/05303  
SUMITOMO CHEMICAL COMPANY, LIMITED et al.  
Our Ref.: E 1486 PCT

VOSSIUS & PARTNER  
PATENTANWÄLTE  
SIEBERTSTR. 4  
81675 MÜNCHEN  
2.9. Aug. 2000

## CLAIMS

1. A promoter comprising the following DNA (a) or (b), characterized in that it is capable of functioning in plant cells:
  - (a) DNA comprising the nucleotide sequence shown in SEQ ID No:1 or SEQ ID No:7, or
  - (b) DNA comprising a nucleotide sequence in which one or more bases are deleted, substituted, or added in the nucleotide sequence shown in SEQ ID No: 1 or SEQ ID No:7, and which has more than 90% identity to the nucleotide sequence of any region consisting of 250 bp or more within the nucleotide sequence shown in SEQ ID No:1 or SEQ ID No:7, wherein said DNA has promoter functions equivalent to those of the above DNA (a).
2. A terminator comprising the following DNA (a) or (b), characterized in that it is capable of functioning in plant cells:
  - (a) DNA comprising the nucleotide sequence shown in SEQ ID No:2, or
  - (b) DNA comprising a nucleotide sequence in which one or more bases are deleted, substituted, or added in the nucleotide sequence shown in SEQ ID No:2 and which has more than 90% identity to the nucleotide sequence of any region consisting of 250 bp or more within the nucleotide sequence shown in SEQ ID No:2, wherein said DNA has terminator functions equivalent to those of the above DNA (a).
3. A chimeric gene characterized in that it comprises a promoter of claim 1 and a desired gene linked to each other in the form capable of functioning.

4. A chimeric gene characterized in that it comprises a promoter of claim 1, a desired gene, and a terminator of claim 2 linked to each other in the form capable of functioning.
5. A vector characterized in that it contains a promoter of claim 1.
6. A vector characterized in that it contains a promoter of claim 1 and a desired gene.
7. A vector characterized in that it contains a promoter of claim 1, a desired gene, and a terminator of claim 2.
8. A method of producing a transformant, characterized in that it comprises a step in which any one of a promoter of claim 1, a chimeric gene of claim 3 or 4 and a vector of claim 5, 6 or 7 is introduced into a host cell.
9. A transformant characterized in that it carries any one of a promoter of claim 1, a chimeric gene of claim 3 or 4, and a vector of claim 5, 6 or 7, introduced into the host cell.
10. A transformant of claim 9 characterized in that the host cell is a microbial cell or a plant cell.
11. A method of expressing a gene, characterized in that it comprises a step in which a promoter of claim 1 and a desired gene located downstream from said promoter are placed in a host cell, and a step in which the desired gene is expressed in the host cell under the control of said promoter.
12. A method of expressing a gene, characterized in that it comprises a step in which a terminator of claim 2 and a desired gene located upstream from said terminator are placed in a host cell, and a step in which the desired gene is expressed in the host cell under the control of said terminator.

PCT/JP99/05303  
Sumitomo Chemical Company, Limited et al.  
Our Ref.: E 1486 PCT

## ANNEX A

A: D1 SEQ ID NO:2, from base 1790 to 2042

B: from base 1795 to base 2052 in SEQ ID NO:1

C: from base 1795 to base 2052 in SEQ ID NO:7

A:   aat gcttctaga atatacttt tgaatttca acaaacacag cactaacttt tcttttaaca  
gattgaatc gtttctaaa ctttt\_aaaa tt\_aaaaaat acattactat aatatttate aacacctcaa  
cattgatgtt agcgtactat aaatagggtc tcttggtgct ctactatcat cacatcaatc ttccagcaca  
aaccttgagc ttaattttc tactaatttt tagcaaaaac attctaaagg tc

B:   aataat gcattctaga atacatcttt tcaaatttca acaaacacag ctttaacttt tctttcaacg  
gattggaatc cttttctaaa ctttttaaaa taaaaaaaat gcattatgtt aatatttate aacacctcaa  
cattgatgtt agcgtactat aaatagggtc tcttggtgct ctactatcat cacatcaatc ttacaccaca  
aaccttgagc ttaattttc tacttattct cagcaataac attctaaaja tc

C:   aataat gcattctaga atacatcttt tcaaatttca acaaacacag ctttaacttt tctttcaacg  
gattggaatc cttttctaaa ctttttaaaa taaaaaaaat gcattatgtt aatatttate aacacctcaa  
cattgatgtt agcgtactat aaatagggtc tcttggtgct ctactatcat cacatcaatc ttacaccaca  
aaccttgagc ttaattttc tacttattct cagcaatcac attctaaaga tc

## PATENT COOPERATION TREATY

PCT

NOTIFICATION CONCERNING  
SUBMISSION OR TRANSMITTAL  
OF PRIORITY DOCUMENT

(PCT Administrative Instructions, Section 411)

From the INTERNATIONAL BUREAU

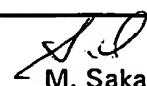
To:

JINNO, Naoyoshi  
Sumitomo Chemical Company, Limited  
Intellectual Property Department  
5-33, Kitahama 4-chome, Chuo-ku  
Osaka-shi, Osaka 541-8550  
JAPON

Date of mailing (day/month/year) 13 October 1999 (13.10.99)	<b>IMPORTANT NOTIFICATION</b>
Applicant's or agent's file reference 556953	
International application No. PCT/JP99/05303	
International publication date (day/month/year) Not yet published	
International filing date (day/month/year) 28 September 1999 (28.09.99)	Priority date (day/month/year) 02 October 1998 (02.10.98)
Applicant SUMITOMO CHEMICAL COMPANY, LIMITED et al	

- The applicant is hereby notified of the date of receipt (except where the letters "NR" appear in the right-hand column) by the International Bureau of the priority document(s) relating to the earlier application(s) indicated below. Unless otherwise indicated by an asterisk appearing next to a date of receipt, or by the letters "NR", in the right-hand column, the priority document concerned was submitted or transmitted to the International Bureau in compliance with Rule 17.1(a) or (b).
- This updates and replaces any previously issued notification concerning submission or transmittal of priority documents.
- An asterisk(\*) appearing next to a date of receipt, in the right-hand column, denotes a priority document submitted or transmitted to the International Bureau but not in compliance with Rule 17.1(a) or (b). In such a case, the attention of the applicant is directed to Rule 17.1(c) which provides that no designated Office may disregard the priority claim concerned before giving the applicant an opportunity, upon entry into the national phase, to furnish the priority document within a time limit which is reasonable under the circumstances.
- The letters "NR" appearing in the right-hand column denote a priority document which was not received by the International Bureau or which the applicant did not request the receiving Office to prepare and transmit to the International Bureau, as provided by Rule 17.1(a) or (b), respectively. In such a case, the attention of the applicant is directed to Rule 17.1(c) which provides that no designated Office may disregard the priority claim concerned before giving the applicant an opportunity, upon entry into the national phase, to furnish the priority document within a time limit which is reasonable under the circumstances.

<u>Priority date</u>	<u>Priority application No.</u>	<u>Country or regional Office or PCT receiving Office</u>	<u>Date of receipt of priority document</u>
02 Octo 1998 (02.10.98)	10/281124	JP	08 Octo 1999 (08.10.99)


The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland  Facsimile No. (41-22) 740.14.35	Authorized officer  M. Sakai  Telephone No. (41-22) 338.83.38
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# PATENT COOPERATION TREATY

## PCT

### INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference E 1486 PCT		<b>FOR FURTHER ACTION</b> See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)	
International application No. PCT/JP99/05303	International filing date (day/month/year) 28/09/1999	Priority date (day/month/year) 02/10/1998	
International Patent Classification (IPC) or national classification and IPC C12N15/82			
Applicant SUMITOMO CHEMICAL COMPANY, LIMITED et al.			
<p>1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.</p> <p>2. This REPORT consists of a total of 5 sheets, including this cover sheet.</p> <p><input checked="" type="checkbox"/> This report is also accompanied by ANNEXES, i.e. sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).</p> <p>These annexes consist of a total of 2 sheets.</p>			
<p>3. This report contains indications relating to the following items:</p> <ul style="list-style-type: none"> <li>I <input checked="" type="checkbox"/> Basis of the report</li> <li>II <input type="checkbox"/> Priority</li> <li>III <input type="checkbox"/> Non-establishment of opinion with regard to novelty, inventive step and industrial applicability</li> <li>IV <input type="checkbox"/> Lack of unity of invention</li> <li>V <input checked="" type="checkbox"/> Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement</li> <li>VI <input type="checkbox"/> Certain documents cited</li> <li>VII <input type="checkbox"/> Certain defects in the international application</li> <li>VIII <input type="checkbox"/> Certain observations on the international application</li> </ul>			
Date of submission of the demand  30/03/2000		Date of completion of this report  04. 10. 00	
Name and mailing address of the international preliminary examining authority:  European Patent Office D-80298 Munich Tel. +49 89 2399 - 0 Tx: 523656 epmu d Fax: +49 89 2399 - 4465		Authorized officer  Predazzi, V  Telephone No. +49 89 2399 7518	



# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/JP99/05303

## I. Basis of the report

1. This report has been drawn on the basis of (*substitute sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to the report since they do not contain amendments.*):

### Description, pages:

1-60 as originally filed

### Claims, No.:

1-12 as received on 29/08/2000 with letter of 29/08/2000

### Drawings, sheets:

1/4-4/4 as originally filed

2. The amendments have resulted in the cancellation of:

- ☐ the description, pages:
- ☐ the claims, Nos.:
- ☐ the drawings, sheets:

3. ☐ This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)):

4. Additional observations, if necessary:

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT**

International application No. PCT/JP99/05303

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**V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**

**1. Statement**

Novelty (N)	Yes: Claims 1-12
	No: Claims
Inventive step (IS)	Yes: Claims 1-12
	No: Claims
Industrial applicability (IA)	Yes: Claims 1-12
	No: Claims

**2. Citations and explanations**

**see separate sheet**



**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT - SEPARATE SHEET**

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International application No. PCT/JP99/05303

**Re Item I**

**Basis of the opinion**

Application as filed containing SEQ ID No: 1-7.

**Re Item V**

**Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**

1. Reference is made to the following document:

D1: EP-A-0 824 150 (SUMITOMO CHEMICAL CO) 18 February 1998 (1998-02-18)

2. Amended claims 1-12 filed with letter of 29.08.2000 appears to be allowable under Article 34 (2)(b) PCT.
3. The subject-matter of claims 1-12 of the present application appears to be novel and inventive in the sense of Article 33 (2) and (3) PCT.
- 3.1 D1, which is considered to represent the closest prior art, discloses a plant promoter (*Daucus carota*) which has sequence homologies with the promoter of the present application and a terminator from the same plant, a vector comprising them, a chimera gene comprising said promoter, a microorganism and a plant cell transformed with said vector and the deriving plant and methods of expressing a gene linked to said promoter. The difference from D1 and the present application is in the promoter and in the terminator.

The problem to be solved, starting from D1, may therefore be regarded as how to provide an alternative promoter and an alternative terminator.

The Applicant solves the problem by isolating, from *Daucus carota*, the promoter and the terminator DNA sequences disclosed in the present application.

Although it is a common practice in plant molecular genetics to construct and use

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT - SEPARATE SHEET**

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International application No. PCT/JP99/05303

vectors comprising a promoter sequence located upstream the desired gene and a terminator sequence located downstream said gene, and although it is common knowledge that new promoters and new terminators can be found up and downstream wild type genes, it would not be obvious for the person skilled in the art, to isolate and use the promoter and the terminator described in the present application. Therefore, although the techniques used are routine techniques, there appears to be no indication in the prior art that would lead the person skilled in the art to isolate and use exactly the promoter and the terminator disclosed in the present application. In fact, the sequence homology shared by certain regions of the promoter of D1 and the promoter of the present application is not considered a sufficient indication for the isolation of the promoter of the present application.

Hence, the subject-matter of point a) of claims 1-12 appears to be novel and inventive under Article 33 (2) and (3) PCT.

PCT Patent Application  
No. PCT/JP99/05303  
SUMITOMO CHEMICAL COMPANY, LIMITED et al.  
Our Ref.: E 1486 PCT

## CLAIMS

1. A promoter comprising the following DNA (a) or (b), characterized in that it is capable of functioning in plant cells:
  - (a) DNA comprising the nucleotide sequence shown in SEQ ID No:1 or SEQ ID No:7, or
  - (b) DNA comprising a nucleotide sequence in which one or more bases are deleted, substituted, or added in the nucleotide sequence shown in SEQ ID No: 1 or SEQ ID No:7, and which has more than 90% identity to the nucleotide sequence of any region consisting of 250 bp or more within the nucleotide sequence shown in SEQ ID No:1 or SEQ ID No:7, wherein said DNA has promoter functions equivalent to those of the above DNA (a).
2. A terminator comprising the following DNA (a) or (b), characterized in that it is capable of functioning in plant cells:
  - (a) DNA comprising the nucleotide sequence shown in SEQ ID No:2, or
  - (b) DNA comprising a nucleotide sequence in which one or more bases are deleted, substituted, or added in the nucleotide sequence shown in SEQ ID No:2 and which has more than 90% identity to the nucleotide sequence of any region consisting of 250 bp or more within the nucleotide sequence shown in SEQ ID No:2, wherein said DNA has terminator functions equivalent to those of the above DNA (a).
3. A chimeric gene characterized in that it comprises a promoter of claim 1 and a desired gene linked to each other in the form capable of functioning.

4. A chimeric gene characterized in that it comprises a promoter of claim 1, a desired gene, and a terminator of claim 2 linked to each other in the form capable of functioning.
5. A vector characterized in that it contains a promoter of claim 1.
6. A vector characterized in that it contains a promoter of claim 1 and a desired gene.
7. A vector characterized in that it contains a promoter of claim 1, a desired gene, and a terminator of claim 2.
8. A method of producing a transformant, characterized in that it comprises a step in which any one of a promoter of claim 1, a chimeric gene of claim 3 or 4 and a vector of claim 5, 6 or 7 is introduced into a host cell.
9. A transformant characterized in that it carries any one of a promoter of claim 1, a chimeric gene of claim 3 or 4, and a vector of claim 5, 6 or 7, introduced into the host cell.
10. A transformant of claim 9 characterized in that the host cell is a microbial cell or a plant cell.
11. A method of expressing a gene, characterized in that it comprises a step in which a promoter of claim 1 and a desired gene located downstream from said promoter are placed in a host cell, and a step in which the desired gene is expressed in the host cell under the control of said promoter.
12. A method of expressing a gene, characterized in that it comprises a step in which a terminator of claim 2 and a desired gene located upstream from said terminator are placed in a host cell, and a step in which the desired gene is expressed in the host cell under the control of said terminator.

PATENT COOPERATION TREATY

**BEST AVAILABLE COPY**

From the INTERNATIONAL BUREAU

**PCT****NOTIFICATION OF ELECTION**

(PCT Rule 61.2)

To:

Assistant Commissioner for Patents  
United States Patent and Trademark  
Office  
Box PCT  
Washington, D.C.20231  
ETATS-UNIS D'AMERIQUE

in its capacity as elected Office

<b>Date of mailing</b> (day/month/year) 08 May 2000 (08.05.00)	
<b>International application No.</b> PCT/JP99/05303	<b>Applicant's or agent's file reference</b> 556953
<b>International filing date</b> (day/month/year) 28 September 1999 (28.09.99)	<b>Priority date</b> (day/month/year) 02 October 1998 (02.10.98)
<b>Applicant</b> NISHIKAWA, Satomi et al	

1. The designated Office is hereby notified of its election made:



in the demand filed with the International Preliminary Examining Authority on:

30 March 2000 (30.03.00)



in a notice effecting later election filed with the International Bureau on:

2. The election ☒ was

was not

made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).

<b>The International Bureau of WIPO</b> 34, chemin des Colombettes 1211 Geneva 20, Switzerland Facsimile No.: (41-22) 740.14.35	<b>Authorized officer</b>  Shinji IGARASHI Telephone No.: (41-22) 338.83.38
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# PCT

## INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference <b>556953</b>	<b>FOR FURTHER ACTION</b> see Notification of Transmittal of International Search Report (Form PCT/ISA/220) as well as, where applicable, item 5 below.	
International application No. <b>PCT/JP 99/ 05303</b>	International filing date (day/month/year) <b>28/09/1999</b>	(Earliest) Priority Date (day/month/year) <b>02/10/1998</b>
Applicant  <b>SUMITOMO CHEMICAL COMPANY, LIMITED et al.</b>		

This International Search Report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.

This International Search Report consists of a total of 2 sheets.

☒ It is also accompanied by a copy of each prior art document cited in this report.

### 1. Basis of the report

- a. With regard to the **language**, the international search was carried out on the basis of the international application in the language in which it was filed, unless otherwise indicated under this item.

☐ the international search was carried out on the basis of a translation of the international application furnished to this Authority (Rule 23.1(b)).

- b. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, the international search was carried out on the basis of the sequence listing :

☒ contained in the international application in written form.

☒ filed together with the international application in computer readable form.

☐ furnished subsequently to this Authority in written form.

☐ furnished subsequently to this Authority in computer readable form.

☐ the statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.

☐ the statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished

2. ☐ **Certain claims were found unsearchable** (See Box I).

3. ☐ **Unity of invention is lacking** (see Box II).

### 4. With regard to the **title**,

☒ the text is approved as submitted by the applicant.

☐ the text has been established by this Authority to read as follows:

### 5. With regard to the **abstract**,

☒ the text is approved as submitted by the applicant.

☐ the text has been established, according to Rule 38.2(b), by this Authority as it appears in Box III. The applicant may, within one month from the date of mailing of this international search report, submit comments to this Authority.

### 6. The figure of the **drawings** to be published with the abstract is Figure No.

☐ as suggested by the applicant.

☐ because the applicant failed to suggest a figure.

☐ because this figure better characterizes the invention.

☐ None of the figures.

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/JP 99/05303

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 C12N15/82 C12N5/10

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 C12N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	✓ EP 0 824 150 A (SUMITOMO CHEMICAL CO) 18 February 1998 (1998-02-18) the whole document ---	
A	✓ EP 0 659 884 A (SUMITOMO CHEMICAL CO) 28 June 1995 (1995-06-28) see seq.ID.6 example 5 -----	



Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

## ° Special categories of cited documents :

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&amp;" document member of the same patent family

Date of the actual completion of the international search

6 March 2000

Date of mailing of the international search report

13/03/2000

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Smalt, R

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/JP 99/05303

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
EP 0824150	A	18-02-1998	JP 10052273 A	24-02-1998
			CA 2212592 A	12-02-1998
			US 5959176 A	28-09-1999
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EP 0659884	A	28-06-1995	JP 7188288 A	25-07-1995
			CA 2138823 A	25-06-1995
			US 5512484 A	30-04-1996
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